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Description and attribution analysis of the 2017 spring anomalous high temperature causing floods in Kazakhstan

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Abstract
It is speculated that floods in many areas of the world have become more severe with global warming. This study describes the 2017 spring floods in Kazakhstan, which, with about six people dead or missing, prompted the government to call for more than 7,000 people to leave their homes.

Then, based on the Climatic Research Unit (CRU), the NCEP/NCAR Reanalysis 1, and the Coupled Model Intercomparison Project 5 (CMIP5) simulations, the seasonal trends of temperature were calculated using the linear least-squares regression and the Mann–Kendall trend test. The correlation between the surface air temperature and atmospheric circulation was explored, and the attributable risk of the 2017 spring floods was evaluated using the conventional fraction of the attributable risk (FAR) method. The results indicate that the north plains of Kazakhstan had a higher (March–April) mean temperature anomaly compared to the south plains, up to 3°C, relative to the 1901–2017 average temperature. This was the primary cause of flooding in Kazakhstan. March and April were the other months with a higher increasing trend in temperature from 1901 to 2017 compared with other months. In addition, a positive anomaly of the geopotential height and air temperature for the March–April 2017 period (based on the reference period 1961–1990) was the reason for a warmer abnormal temperature in the northwest region of Kazakhstan.

Finally, the FAR value was approximately equal to 1, which supported the claim of a strong anthropogenic influence on the risk of the 2017 March–April floods in Kazakhstan. The results presented provide essential information for a comprehensive understanding of the 2017 spring floods in Kazakhstan and will help government officials identify flooding situations and mitigate
damage in future.

**Keywords**  2017 spring floods; Kazakhstan; attribution analysis; CMIP5; atmospheric circulation; Central Asia
Introduction

In 2017, a rapid spring thaw caused heavy flooding in the northern and central regions in Kazakhstan (Figure 1a), which swept away cars, submerged cities, as well as destroyed homes, schools, roads, bridges, and other infrastructure. The flood had about six people dead or missing and prompted the government to call for more than 7,000 people to leave their homes (Davies, 2017; RFE/RL's Kazakh Service, 2017). These floods were primarily attributed to the rapid increase in temperature in Spring 2017, which caused the rapid melting of snow and ice. The resulting water runoff quickly accumulated, resulting in rivers overflowing their banks and inundating riverside traffic arteries (e.g., railways) and cities and districts, especially Karaganda, Atbasar, Tselinograd, Sandyktau, Aktobe, and Beskaragay (see Fig. 1b).

Kazakhstan, located in Central Asia, is the world’s largest landlocked country, the climate of which is typically continental with warm summers and very cold winters (Salnikov et al., 2015). It is highly prone to river floods (Plekhanov, 2017), droughts (Zhang et al., 2017a), earthquakes (Campbell et al., 2015), and landslides (Havenith et al., 2015). As per the statistics of the Global Emergency Disaster Database (EM-DAT), a significant number of floods occurred (58.8% of all disasters) during the 1990–2014 period, causing significant casualties, economic losses, and environmental pollution (Heaven et al., 2000; Plekhanov, 2017). On the basis of the water regime of rivers in Kazakhstan, all floods could be divided into four types, namely, the Kazakhstan type, Tien Shan type, Altai type, and “No outflow” type (Plekhanov, 2017). Kazakhstan type flooding occurred in the steppe and semidesert rivers located in the northwestern, northern, and central regions mainly
due to the melting of seasonal snow cover on the plains and low mountain areas. Tien Shan type flooding is typical for rivers (e.g., Syr Darya River) of southeastern and southern Kazakhstan mainly because of the intensive melting of seasonal snow or glacial cover in mountainous areas (Aizen et al., 1996). Altai-type flooding is typical for rivers (e.g., Irtys River) of the mountain regions of eastern Kazakhstan in which rivers were characterized by spring floods that lasted for 1–2 months. “No outflow”-type flooding happens in small rivers in the central and western desert and semidesert parts of the country mainly due to the strong, intensive rainfalls. It is obvious that considerable melting of seasonal snow and glaciers is the primary reason for flooding in Kazakhstan, which will probably become more frequent and serious under global warming (Pollner et al., 2010). For example, future anthropogenic climate change possibly will lead to (1) additional intense precipitation events (Zhang et al., 2017a); (2) accelerated melting of snow and glaciers (Sorg et al., 2012); and (3) increased soil aridity because of high rates of evaporation (Lioubimtseva et al., 2005), resulting in the upper layer of soil washing away more readily. All these changes tend to increase flood losses because of increase in exposure linked to ongoing economic development (Thurman, 2011).

The evidence for the impact of climate change on both hydro-climatology and water-related disasters of Kazakhstan is considerable (Salnikov et al., 2015; Shivareva and Bulekbayeva, 2017; Zou et al., 2019). The annual bulletin of climate change (issued by the Ministry of Environmental Protection of the Republic of Kazakhstan) indicates that the country’s average annual temperature increased by 0.27°C/decade during the 1941–2014 period and that the biggest increase, up to 0.38°C/decade, was detected in spring in the northern, central, and eastern regions. The annual
precipitation slightly decreased by 0.8 mm/decade from 1941 to 2014 and increased during winter, whereas it decreased during the other three seasons. Furthermore, climate change already increased the frequency of extreme precipitation and temperature over Central Asia (Zhang et al., 2017b), thus causing additional water-related disasters in Kazakhstan (Salnikov et al., 2015; Thurman, 2011).

Many studies have examined the impact of climate change on global floods (Blöschl et al., 2017; Iwami et al., 2017; Winsemius et al., 2016). Seasonal floods are the norm in many rivers (Wirth et al., 2013), of which spring floods are usually attributed to enough snow accumulation in winter and warm temperatures in spring (Prowse et al., 2010). Heavy snow accumulation in many parts of the middle- to high-latitude regions indicates an increased risk of flooding if the weather turns to spring too quickly (Frolova et al., 2015; Mazouz et al., 2012), which has become increasingly common under climate change (Blöschl et al., 2019; Veijalainen et al., 2010). However, only a few relevant studies examined the causes and contributors to spring floods in Kazakhstan, especially for the investigation of temperature.

Therefore, the aim of this study is (1) to investigate the changes in the March–April temperature in Kazakhstan from 1901 to 2017 because the increasing temperature was the primary driver for the 2017 spring floods; (2) to evaluate the relation between the warming temperature and atmospheric circulation; and (3) to explore how human-induced climate change causes a warmer temperature and increased spring flood events in Kazakhstan. This study is structured as follows: the datasets and methods are briefly described in Section 2. The results of changes in temperature, correlation analysis, and contribution analysis are elaborated in Section 3, followed by the conclusions in Section 4.
Datasets and methods

2.1. Datasets

In Central Asia, because of the lack of long-term ground-based observation data, the Climatic Research Unit (CRU, TS v.4.03) was used to calculate the monthly, seasonal, and yearly temperature and precipitation in Kazakhstan from 1901 to 2018. In May 2019, this dataset was produced and issued by CRU at the University of East Anglia, England, with a resolution of $0.5^\circ \times 0.5^\circ$ and using the same method as for an earlier version (Harris et al., 2014). Furthermore, the CRU dataset has been extensively used in many previous studies (Nakaegawa et al., 2015) and has been confirmed to be reasonable for Central Asia (Malsy et al., 2015; Zou et al., 2019).

To fully understand the atmospheric processes leading to the 2017 spring floods in Kazakhstan, the data of the NCEP/NCAR Reanalysis 1 (Kalnay et al., 1996) were used to understand the large-scale atmospheric circulation from the surface to upper layers. On the basis of the data from 1948 to present, a state-of-the-art analysis/forecast system was used to perform data assimilation in the NCEP/NCAR Reanalysis 1 project, which has been extensively applied in multiple studies (Basu and Sauchyn, 2019; Romanic et al., 2018). In this study, parameters, including the air temperature, geopotential height, and wind, were used to evaluate the relation between atmospheric circulation and 2017 spring floods.

To assess the contribution of human influence on increase in temperature in Kazakhstan, temperature simulations from about 40 global climate models (GCMs) from the Coupled Model Intercomparison Project Phase 5 (CMIP5; see Taylor et al., 2012) were employed. These CMIP5
models provided 13 temperature simulations (one member run “r1i1p1”) with a preindustrial control setting, natural forcing only (NAT), and all forcing (ALL). Then, two evaluation methods were applied to identify and select models. One is the positive spatial correlation coefficient for the interannual March–April mean temperature between the CRU and the CMIP5 ALL simulations in Kazakhstan. Furthermore, the criterion is that the coefficient should be larger than or equal to 0. The other method is the Kolmogorov–Smirnov (K–S) test (Nakaegawa and Kanamitsu, 2006; Nakaegawa and Nakakita, 2012) between the CRU and the CIMP5 ALL simulations; the $p$ value should be $<0.05$.

Finally, 10 models were selected to analyze the attribution (Table 1). For each CMIP5 model, only one member run (“r1i1p1”) was employed. The ALL simulations of most models ended in 2005. To compare the observations from 1961 to 2017 better, the March–April annual mean temperature projections from the Representative Concentration Pathways 8.5 (RCP8.5) scenario were used to extend the time series of ALL simulations through 2017 based on the method proposed by Zhou et al. (2014).

2.2. Methodology

Linear least-squares regression (Hess et al., 2001) was applied to estimate the trend of the monthly and yearly temperatures at the grid and the national scales for Kazakhstan, and their significance in each time series was evaluated using the Mann–Kendall trend test (Kendall, 1975). The national temperature time series were calculated from the average of all grid points.

To understand the temperature variations in different subperiods better, we divided the period into four subperiods, namely, 1901–1930, 1931–1960, 1961–1990, and 1991–2017, as well as
calculated the probability distribution functions for the March–April annual mean temperature for all
four subperiods.

When evaluating the contribution of the human influence on the increasing temperature in
Kazakhstan, three temperature indices were measured namely, TNn (monthly minimum value of the
daily minimum temperature), TXx (monthly maximum value of the daily maximum temperature),
and the mean temperature.

The conventional fraction of the attributable risk ($FAR$) method was used to quantify the
attributable risk of the 2017 spring floods in the model analysis (Stone and Allen, 2005; Stott et al.,
2004). The $FAR$ value could be calculated using the following equation:

$$ FAR = 1 - \frac{P_{NAT}}{P_{ALL}} $$

(1)

where $FAR$ is the fraction of the risk for the occurrence of the 2017 spring floods in Kazakhstan that
is attributed to the inclusion of additional forcing from one scenario to the next, $P_{ALL}$ is the
probability of the event under ALL forcing, and $P_{NAT}$ is the probability under the NAT forcing. Both
$P_{ALL}$ and $P_{NAT}$ could be computed based on the CMIP5 ALL and NAT simulations. Based on the
definition of the calculating process of $FAR$ and the CMIP5 ALL and NAT simulations, we first
compared the real temperature and ALL and NAT simulations, and then calculated $P_{ALL}$ and $P_{NAT}$.

The $FAR$ values provide a quantification of the change in probability of the defined event occurring
(here, the occurrence of the 2017 spring floods in Kazakhstan) that can be attributed to a particular
cause, particularly the difference between model experiments (i.e., anthropogenic climate forcings).

For instance, a value of $FAR = 0.5$ suggests that the risk of an extreme event is doubled over natural
conditions because of the anthropogenic climate change. Because of the lack of the observed TXx and TNn, we only compared the probability of the observed 2017 March–April mean temperature occurring in the ALL forcing ($P_{\text{ALL}}$) and the NAT forcing ($P_{\text{NAT}}$) simulations to determine the contribution of anthropogenic climate change.

Furthermore, to estimate the FAR uncertainty, the bootstrapping method (with replacement) was applied in this CMIP5-based study. For determining the FAR values associated with the 2017 March–April mean temperatures in Kazakhstan, each distribution of temperature was bootstrap resampled 1,000 times (using in each iteration subsamples of all years from only 50% of available model simulations) to produce a distribution of FAR values (Lewis and Karoly, 2013). This distribution of 1,000 FAR values represents the uncertainty associated using different models and provides a basis for communicating FAR ranges. In this study, e.g., both the median and 10th percentile FAR values indicate that they are exceeded by 90% of values in the bootstrapped FAR distributions; moreover, they can be described as “best estimate” and “very likely” values, respectively.

Results and discussions

3.1. Changes in temperature

Figure 2a shows the distribution of mean temperature in the March–April 2017 period (Kazakhstan), suggesting that the temperature was high in most regions except for northern Kazakhstan and high mountains. The south plains had a higher temperature than the north plains; moreover, both Tien Shan and Altai Mountains showed a lower temperature than other plains.
However, the north plains had a higher mean temperature anomaly (up to 3°C) in March–April than the south plains compared to the average temperature in 1901–2017 (Figure 2b), which shows that abnormally high temperatures appeared in spring 2017 and probably accelerated the snow and ice melting in Kazakhstan. The unusually warm temperatures engulfed a large part of Kazakhstan in March–April 2017, which agreed with the trend of mean temperature in March–April from 1901 to 2017 (Figures 2c and 2d).

Figure 2c also clearly illustrates that all grids in Kazakhstan exhibited positive trends at the 95% confidence level and that the southern regions had lower trends than the northern regions. Figure 2d shows that a significant, increasing trend at 0.25°C/decade was detected during the 1901–2017 period for the entire Kazakhstan; moreover, the national mean temperature in March–April was greater than 7.50°C since 2004. Of those, the most notable warm temperature anomalies were present across most of Kazakhstan during March and April 2008, up to 6.77°C, and the value amounted to 3.41°C in 2017. All these springs (with a warm temperature anomaly) had floods in the warm temperature and dramatically accelerated the snow melting and ice disintegration in early spring. Figure 2e shows the bivariate return periods for the current March–April mean temperature, which suggests that the 2017 March–April warm temperature was close to a 1-in-6-year event. Figure 2f shows that the March–April temperature demonstrated a positive shift from the first time (1901–1930) to the fourth time period (1991–2017), suggesting that the warm temperature anomaly has increasingly become common and significant (the right tail of each time period). The increasing trend in temperature is consistent with the analysis from Pilifosova et al. (1997) and Salnikov et al. (2015).
Furthermore, Figure 2d shows that certain other years had higher mean temperatures in March–April compared with that in March–April in 2017. For example, the national mean temperature was greater than 10°C in March–April 2008, which was considerably higher than that in March–April 2017. However, the warm temperature in 2008 did not cause more floods than in 2017 because there was not enough snow accumulation during this year. More concretely, there was additional winter precipitation in 2017 over Kazakhstan (Figure 2g), and precipitation anomaly was greater than 10 mm in northern regions (Figure 2h). Figure 2i shows the spatial distribution of differences of winter precipitation between 2008 and 2017, which suggests that winter precipitation in 2017 was considerably higher than that in 2008; furthermore, the largest difference value was up to 20 mm in the northern regions of Kazakhstan.

To compare temperature variations between March–April and the other months, the monthly temperature was analyzed. Figure 3 shows the mean monthly temperature in Kazakhstan from 1901 to 2017, which shows that July had the highest mean temperature (approximately 23.14°C), whereas January had the lowest (approximately −12.55°C). The mean temperature was greater than 0°C in April, May, June, July, August, September, and October; however, it was negative in November, December, January, February, and March. Of those, the temperature during March and April is extremely important for determining the spring melting and snow cover (see blue box plots in Figure 3b). For example, the increasing temperature could cause earlier spring melting and reduced snow cover seasons and vice versa. Uneven spatial distributions are also found in Figure 3a. Generally, the southern regions have a higher temperature than northern regions, and the temperature is greater than
30°C in the southern regions in summer but less than −30°C in the northern regions in summer.

Figure 4 shows the trends of mean monthly temperature in Kazakhstan from 1901 to 2017, which shows that an increase was detected for all months ranging from 0.06°C to 0.37°C/decade. Note that July had the lowest trend for the mean temperature (approximately 0.06°C/decade), whereas March had the highest trend for the mean temperature (approximately 0.37°C/decade), followed by April (approximately 0.26°C/decade) and February (approximately 0.22°C/decade). Obviously, in these two months, the increase in (both March and April) temperature had significantly uplifted the mean temperature (see Figure 3), probably causing earlier spring melting and shorter snow cover seasons (Kaldybayev et al., 2016; Kitaev et al., 2005). Moreover, Figure 4 shows that an uneven spatial distribution was detected for all months. The north had higher trends than the south in March and April, and the largest increase amounted to 0.5°C/decade in the north fringe in Kazakhstan. The northern regions had higher trends than the southern regions in March and April, and the largest increase was more than 0.5°C/decade in the north fringe regions in Kazakhstan; however, in July and September, the southern regions had higher trends than the northern regions, and the lowest increase was reported in the north fringe regions in Kazakhstan, up to 0°C/decade.

3.2. Relation with the atmospheric circulation

Generally, the anomalies of synoptic conditions have been confirmed to contribute to extreme temperature and precipitation events (Lau and Kim, 2012; Milrad et al., 2015), particularly under climate change. Therefore, to investigate the characteristics of flood occurrence in Kazakhstan, composite analysis was calculated and contoured for the following atmospheric variables in the data
of the NCEP/NCAR Reanalysis 1: 500 and 850 hPa air temperature, geopotential height, and wind.

Figure 5 shows the contour maps of the anomalies in air temperature, geopotential height, and wind vector at 500 and 850 hPa from March to April 2017 (based on the 1961–1990 reference period).

As can be seen from Figures 5a and 5b, a positive air temperature anomaly was detected in the northwest and northeast regions at both 500 and 850 hPa but a negative one in the southeast mountains. The anomalies of air temperature at 500 hPa show that the largest anomaly was up to +1°C in the northern regions, which probably accelerated ice melting and caused a series of floods in the northern regions of Kazakhstan because there are multiple small river networks in these areas (see Figure 1).

Figure 5c shows that the March–April 2017 period was characterized by a strong positive geopotential anomaly at 500 hPa, based on the 1961–1990 reference period of ~30 gpm with a maximum (larger than 40 gpm) in the northwest region and a minimum (less than 20 gpm) in the southeast corner of Kazakhstan. Moreover, Figure 5c shows a blocking high in the east of Kazakhstan, which may be the main cause of high temperatures in Kazakhstan. The 850 hPa geopotential anomaly reached about 20 gpm with a maximum (more than 30 gpm) in the southwest corner (Figure 5d). Compared with Figures 5a and 5c, the occurrence of warm spring in Kazakhstan was accompanied by a positive anomaly at 500 hPa. Moreover, large positive anomalies at 500 hPa played an important role in maintaining prolonged extreme temperature spells and atmospheric blocking (Tomczyk et al., 2017).

Furthermore, Figures 5e and 5f show anomalies of the wind vector at 500 and 850 hPa (m/s) in March–April 2017, thus revealing an anticyclonic system in eastern Kazakhstan for both pressure layers.
Figure 6 shows that the anomalies of the geopotential height and air temperature were calculated and contoured in the vertical cross-sections of the troposphere. Generally, the occurrence of the anomalies in the March–April 2017 period was related to the positive anomalies of geopotential height on all isobaric levels (100–1000 hPa) throughout the troposphere. On the basis of the 1961–1990 reference period, the largest anomalies of geopotential heights occurred at the level of ~250 hPa, with the maximum along the meridian of 100° E (>120 gpm) (Figure 6d). Figure 6d also shows that the positive air temperature anomalies occurred with the highest values exceeding 4°C on the 1000–750 hPa geopotential levels. Moreover, in Figures 6a and 6b (40° N, 45° N), there were negative air temperature anomalies from 60° E to 80° E in the lower troposphere (below the level of 300 hPa) probably because most of these regions are high mountains and the surface air temperature is extremely low. In the upper troposphere (above the level of 200 hPa), however, there were negative air temperature anomalies in Figures 6c and 6d (50° N, 55° N), which shows a characteristic circulation of air masses within high-pressure areas. That is, the horizontal convergence of air masses in the upper part of the high-pressure area causes adiabatic cooling, leading to negative air temperature anomalies, whereas the positive anomalies in its lower part are a consequence of the settlement of air masses activating adiabatic heating (Tomczyk, 2018).

The spatial patterns of the 1948–2017 trends constructed with air temperature and geopotential height at 500 hPa are plotted in Figure 7, suggesting an increasing trend over Kazakhstan. The trends both show an overall increase at 500 hPa and display negative trends in certain regions for both air temperature and geopotential height. The spatial patterns of trends may trigger a dynamical climatic
response via changes in circulation, whereas increased geopotential height at 500 hPa may contribute
to the occurrence of warm spells weather through direct and indirect effects (Black et al., 2004;
Freychet et al., 2017). Here, the relative increase in geopotential height at 500 hPa around Kazakhstan
(Figure 7b) may enhance the downward solar radiation and subsidence warming and moderate cold
flow from the Siberia and the Arctic Ocean, which consequently increased the surface air temperature.

From the above analysis, therefore, we can possibly conclude that the northeastward shift of the
anticyclonic high-pressure system reduced the northerly wind transporting cold air from the Siberia
and the Arctic Ocean to Kazakhstan, thus favoring a positive air temperature anomaly. The result is
consistent with the interdecadal variation in the Central Asia pattern from Yu et al. (2019): that is, a
positive 500-hPa height anomalies and an anomalous anticyclonic circulation over the northwest of
the region, corresponding to the increasing occurrence of warm spells weather in Central Asia.

3.3. Contribution analysis

To conduct the attribution analysis of the 2017 spring floods in Kazakhstan, we calculated and
compared the probability of the event occurrence under the CMIP5 ALL and NAT simulations. Figure
8 shows the kernel curves of the TNn, TXx, and the mean temperature for CMIP5 ALL and NAT
simulations.

As shown in Figure 8a, the TNn probability density curves shifted to the right from the NAT
simulations to ALL simulations with a corresponding mean value at −18.47°C and −17.99°C,
respectively, which suggests an increase in the mean value of the TNn and a decrease in the
occurrence of cold weather in spring in Kazakhstan. Similarly, the March–April TXx probability
density curves (Figure 8b) shifted to the right from NAT simulations to ALL simulations with a corresponding mean value at 22.72°C and 22.96°C, respectively. This indicates an augmentation in the occurrence of hot weather in spring in Kazakhstan under the influence of anthropogenic forcing.

Similar to the case of TNn and TXx, the probability density curves regarding the mean temperature in March–April tended to shift from the NAT distributions to the right direction in ALL simulations with a corresponding mean value at 2.34°C and 2.43°C, respectively, which indicates that the average temperature increased by 0.09°C because of the natural forcing. Correspondingly, the contribution of the anthropogenic forcing to the observed spring floods 2017 in Kazakhstan was 100% (FAR = 1, Figure 8c), thus supporting the claim of a strong anthropogenic influence on these floods.

Furthermore, we note that although CMIP5 models’ outputs are suitable for estimating FAR, the FAR values are arguably uncertain because of the complexity of extreme climate events and the intrinsic uncertainty that arises from model deficiencies (Bellprat and Doblas Reyes, 2016; National Academies of Sciences, Engineering, and Medicine, 2016). To reduce uncertainties from the limitations of climate model resolution and erroneous representation relevant physical mechanisms, previous studies have to date attempted to use multimodel ensembles (Duan et al., 2019; Fischer and Knutti, 2015) or multimethod approaches (Otto et al., 2015). However, unreliable climate models are still prone to overestimating FAR because of overconfident ensemble spread and model deficiencies; furthermore, the FAR may affect the interannual and decadal variabilities with different phases in different model simulations (Bellprat and Doblas Reyes, 2016; National Academies of Sciences, Engineering, and Medicine, 2016; Slingo and Palmer, 2011). Therefore, contribution studies in future
should increasingly consider model correction approaches and larger ensembles to reduce sampling uncertainty and account for model uncertainties, respectively (Bellprat and Doblas Reyes, 2016; Otto et al., 2016).

Conclusions

In this study, the spring floods in Kazakhstan were first described in 2017, which indicates that a rapid spring thaw caused heavy flooding in the northern and central regions in Kazakhstan, resulting in rivers overflowing their banks and inundating the riverside cities. Then, on the basis of the CRU datasets and NCEP/NCAR Reanalysis 1, the trends of monthly and yearly temperatures at the grid and national scales (for Kazakhstan) were calculated; moreover, their correlation with the atmospheric circulation was assessed. The contribution from the influence of the anthropogenic force was estimated by calculating three temperature indices, namely, TXx, TNn, and mean temperature, for the CIMP5 NAT and ALL simulations. The results could be summarized as follows:

(1) The warmer abnormal temperature in March–April 2017 was the primary cause of flooding in Kazakhstan. The north plains had a higher March–April mean temperature anomaly compared to southern regions, up to 3°C, relative to the 1901–2017 average temperatures, thus accelerating the snow and ice melting in Kazakhstan, which was consistent with the trend of the mean March–April temperature during the 1901–2017 period. Compared with other months, both March and April demonstrated a higher trend from 1901 to 2017, with the value at approximately 0.37°C/decade and 0.26°C/decade, respectively. This probably caused earlier spring melting and shorter snow cover seasons.
A blocking high in the east of Kazakhstan directly caused a positive anomaly of the geopotential height and air temperature in the March–April 2017 period (based on the reference period 1961–1990), eventually leading to a warmer abnormal spring temperature in Kazakhstan. The largest geopotential height and air temperature anomalies at both 500 and 850 hPa were up to 40 gpm and +1°C, respectively, in the northwestern part of Kazakhstan. This explained why the warmer abnormal temperature in the northwest region was higher than that in the southeast region. Moreover, the northeastward shift of the anticyclonic high-pressure system reduced the northerly wind transporting cold air from the Siberia and Arctic Ocean to Kazakhstan, thus favoring a positive air temperature anomaly.

The attribution analysis indicated that the risk of the 2017 March–April floods in Kazakhstan could be attributed to anthropogenic forcing. The kernel curves of the March–April TNn, TXx, and mean temperature shifted to the right from the CMIP5 NAT simulations to the CMIP5 ALL simulations. Moreover, the contribution of anthropogenic forcing to the observed 2017 spring floods in Kazakhstan was 100% (FAR = 1), thus supporting the claim of a strong anthropogenic influence on 2017 spring floods. However, additional contribution studies should increasingly consider model correction approaches and larger ensembles to reduce sampling uncertainty and account for model uncertainties, respectively.

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Fig. 1 (a) Location of Kazakhstan and the distribution of locations hit by floods (Map Review [Inspection]Number: GS [2019]3266); (b) retrieved Google Earth KMZ view of the total water extent on April 20, 2017, in Kazakhstan. The red color represents the flooding mapped from the ESA SAR and NASA optical data, and the blue color shows the preflood surface water (Brakenridge and Kettner, 2017); (c) flooded village; and (d) flooding from rivers overtopping their bank.

Fig. 2 (a) The mean temperature in March and April 2017 in Kazakhstan. (b) Spatial distribution of the March–April mean temperature anomaly in 2017, based on the average from 1901 to 2017. (c) Spatial distribution of the trend (°C/decade) of the March–April mean temperature from 1901 to 2017, and areas with red dots indicate p values less than 0.05. (d) Time series of the regional mean for the March–April temperature from 1901 to 2017 in Kazakhstan. (e) Bivariate return periods for the current March–April mean temperature. (f) Probability distribution functions for the mean March–April temperature (mean value of the grid temperature all over Kazakhstan) between 1901 and 2017 for the four time periods: 1901–1930, 1931–1960, 1961–1990, and 1991–2017. (g) Spatial distribution of winter precipitation (mm) in 2017. (h) Spatial distribution of the winter precipitation anomaly in 2017, based on the average from 1961 to 1990. (i) Spatial distribution of differences of winter precipitation between 2008 and 2017 and, here, 2017 winter precipitation minus 2008 winter precipitation.

Fig. 3 Spatial distribution (a) and box plot (b) of the mean monthly temperature (°C) in Kazakhstan from 1901 to 2017. Boxes indicate the interquartile model spread (25th and 75th quartiles), with the horizontal line indicating the medium monthly temperature. The red dot represents the mean monthly temperature, the values of which are shown for each month in the figure.

Fig. 4 Spatial distribution (a) and box plot (b) of the trends in the mean monthly temperature in
Kazakhstan from 1901 to 2017. Boxes indicate the interquartile model spread (25th and 75th quartiles), with the horizontal line indicating the country medium monthly temperature and the green dot representing the whole trend in the mean monthly temperature.

Fig. 5 Anomalies of the air temperature (a and b), geopotential height (c and d), and wind (e and f) at 500 and 850 hPa in March–April 2017 based on the reference period 1961–1990.

Fig. 6 A vertical cross section along the latitude of 40°N (a), 45°N (b), 50°N (c), and 55°N (d) of the geopotential height and air temperature anomalies from 0°E to 120°E, based on the reference period 1961–1990. The air temperature anomalies are shown in colors, and the geopotential height anomalies are demonstrated in black contours.

Fig. 7 Spatial distribution of the trend of air temperature (a) and geopotential height (b) at 500 hPa from 1948 to 2017, and areas with red dots indicate 95% significance.

Fig. 8 Frequency distributions of the March–April (a) minimum temperature, (b) maximum temperature, and (c) mean temperature for the entire Kazakhstan under the CIMP5 ALL and NAT simulations, estimated by the kernel method (Kimoto and Ghil, 1993).
Fig. 1  (a) Location of Kazakhstan and the distribution of locations hit by floods (Map Review [Inspection]Number: GS [2019]3266); (b) retrieved Google Earth KMZ view of the total water extent on April 20, 2017, in Kazakhstan. The red color represents the flooding mapped from the ESA SAR and NASA optical data, and the blue color shows the preflood surface water (Brakenridge and Kettner, 2017); (c) flooded village; and (d) flooding from rivers overtopping their bank.
Fig. 2 (a) The mean temperature in March and April 2017 in Kazakhstan. (b) Spatial distribution of the March–April mean temperature anomaly in 2017, based on the average from 1901 to 2017. (c) Spatial distribution of the trend (°C/decade) of the March–April mean temperature from 1901 to 2017, and areas with red dots indicate p values less than 0.05. (d) Time series of the regional mean for the March–April temperature from 1901 to 2017 in Kazakhstan. (e) Bivariate return periods for the current March–April mean temperature. (f) Probability distribution functions for the mean March–April temperature (mean value of the grid temperature all over Kazakhstan) between 1901 and 2017 for the four time periods: 1901–1930, 1931–1960, 1961–1990, and 1991–2017. (g) Spatial distribution of winter precipitation (mm) in 2017. (h) Spatial distribution of the winter precipitation anomaly in 2017, based on the average from 1961 to 1990. (i) Spatial distribution of differences of winter precipitation between 2008 and 2017 and, here, 2017 winter precipitation minus 2008 winter precipitation.
Fig. 3  Spatial distribution (a) and box plot (b) of the mean monthly temperature (°C) in Kazakhstan from 1901 to 2017. Boxes indicate the interquartile model spread (25th and 75th quartiles), with the horizontal line indicating the medium monthly temperature. The red dot represents the mean monthly temperature, the values of which are shown for each month in the figure.
Fig. 4 Spatial distribution (a) and box plot (b) of the trends in the mean monthly temperature in Kazakhstan from 1901 to 2017. Boxes indicate the interquartile model spread (25th and 75th quartiles), with the horizontal line indicating the country medium monthly temperature and the green dot representing the whole trend in the mean monthly temperature.
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Table 1 List of the CMIP5 models used in this study. The spatial correlation coefficients between the observed spatial pattern and the models were computed for the entire Kazakhstan from 1901 to 2017, and the criterion is that the coefficient should be larger than or equal to 0. Compared with the observations, the variability of the March–April annual mean temperature model simulations should pass the Kolmogorov–Smirnov (K-S) test, with \( p < 0.05 \). Ten models were selected to analyze the attribution. For each CMIP5 model, only one member run ("r1i1p1") was employed here.
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