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1 **A simple model of the resonant interaction**  
2 **between vortex Rossby and gravity waves**

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## Abstract

10

11 A simple conceptual model of the resonant interaction in a typhoon-like  
12 vortex between vortex Rossby waves (VRWs) and gravity waves (GWs),  
13 which are caused by the VRWs, is presented. It is well known that the VRWs  
14 in the central region of the vortex can grow by the interaction with the GWs  
15 in the outer region, but a simple conceptual model for their interaction has  
16 not yet been proposed. The proposed conceptual model is based on the  
17 buoyancy-vorticity formulation (BV-thinking), and is different from that  
18 for the barotropic and baroclinic instabilities based on PV interactions (PV-  
19 thinking).

20 We consider disturbances of the first baroclinic mode on a basic barotropic  
21 vortex. The disturbance vertical vorticity  $\zeta$  of the VRW in the central region  
22 has a large amplitude on the upper and lower levels. While, the disturbance  
23 buoyancy  $b$  and radial vorticity  $\eta$  of the GW have a large amplitude on the  
24 middle level. The central VRW propagates (relative to the fluid) anticy-  
25 clonically, but moves cyclonically because of the strong cyclonic advection  
26 by the vortex. The outer cyclonically propagating GW is weakly advected  
27 also cyclonically by the vortex. As a result, the counter-propagating VRW  
28 and GW (satisfying Rayleigh's condition) may be phase-locked with each  
29 other (satisfying Fjørtoft's condition).

30 By the counter-propagation and phase-lock, the circulation around  $\zeta$  of

31 the VRW enhances  $b$  of the GW, which in turn enhances  $\eta$ . At the same  
32 time, the circulation around  $\eta$  of the GW enhances  $\zeta$  of the VRW. As a  
33 result, the VRW and GW grow simultaneously.

34 We analytically show the possibility of the resonant interaction, and  
35 numerically obtain the growing solution in the system linearized about the  
36 basic vortex.

37 **Keywords** typhoon; vortex Rossby wave ; gravity wave

## 38 **1. Introduction**

39 On a typhoon-like axisymmetric vortex, vortex Rossby waves (VRWs)  
40 exist supported by the radial gradient of the vertical vorticity. In addition,  
41 gravity waves (GWs) also exist supported by the vertical gradient of the  
42 buoyancy which is proportional to the potential temperature. Asymmetric  
43 disturbances on a typhoon-like axisymmetric vortex are considered to con-  
44 sist of VRWs and GWs. Asymmetric disturbances are known to influence  
45 the intensity and track of a typhoon. For example, Willoughby(1977, 1978)  
46 interpreted the spiral rain bands as inward-propagating GWs. Montgomery  
47 and Kallenbach (1997) interpreted them as outward-propagating VRWs,  
48 and showed that the axisymmetrization of the VRWs intensifies the axisym-  
49 metric vortex. Schubert et al. (1999), and Kossin and Schubert (2001, 2004)  
50 proposed that polygonal eyes of a typhoon are formed by VRWs with var-  
51 ious azimuthal wave numbers in the vicinity of the eyewall. Nolan and  
52 Montgomery (2000, 2001, 2002) proposed that the meandering of the track  
53 of a typhoon is caused by VRWs with azimuthal wave number one.

54 In the case of a typhoon-like axisymmetric vortex which has an an-  
55 nulus of high vertical vorticity corresponding to the eyewall (e.g., Kossin  
56 and Schubert 2001), the radial gradient of the vertical vorticity at the in-

57 side edge of the annulus is opposite in sign to that at the outside edge.  
58 There exist cyclonically propagating VRWs at the inside edge, and anti-  
59 cyclonically propagating VRWs at the outside edge. Here “propagating”  
60 means “propagating relative to the fluid”. The counter-propagation implies  
61 the satisfaction of Rayleigh’s condition. Further, the cyclonic advection by  
62 the axisymmetric vortex is stronger at the outside edge than inside. As  
63 a result, the VRWs at the inside and outside edges may be phase-locked  
64 with each other, and move cyclonically together. The possibility of phase-  
65 lock implies the satisfaction of Fjørtoft’s condition. If phase-locked, the  
66 counter-propagating VRWs grow by the resonant interaction between them  
67 (VRW-VRW interaction). Also in the presence of an annulus of low verti-  
68 cal vorticity in the outer region of an axisymmetric vortex, the growth of  
69 phase-locked counter-propagating VRWs is possible.

70 In the case of a monopole axisymmetric vortex, the radial gradient of  
71 the vertical vorticity is everywhere negative. As a result, the VRWs on the  
72 vortex propagate anticyclonically everywhere. Because of the absence of the  
73 counter-propagating VRWs, disturbances do not grow by the VRW-VRW  
74 interaction. However, VRWs in the central region of the vortex generate  
75 GWs in the surrounding outer region. It is known that by the interaction  
76 between the central VRW and the outer GW (VRW-GW interaction) dis-  
77 turbances grow (e.g., Schechter and Montgomery 2004; Hodyss and Nolan

78 2008; Zhong et al. 2009; Menelaou et al. 2016).

79 For example, Schecter and Montgomery (2004) investigated the VRW-  
80 GW interaction on a barotropic monopole axisymmetric vortex. By the use  
81 of the conservation of wave activity, they obtained an analytical expression  
82 of the growth (and damping) rate of the VRW. They showed the following.  
83 When the VRW-GW interaction is dominant, the VRW grows. While, when  
84 the critical radius damping (Schecter et al. 2002; Schecter and Montgomery  
85 2006) is dominant, the VRW decays. Hodyss and Nolan (2008) examined  
86 the VRW-GW interaction on a baroclinic monopole axisymmetric vortex.  
87 They showed that the growth due to the VRW-GW interaction is suppressed  
88 by the baroclinic structure. Further they examined the case of a vortex hav-  
89 ing an annulus of high vertical vorticity corresponding to the eyewall, and  
90 showed the following. When the annulus is thin, the VRW-VRW interaction  
91 is dominant. While, when the annulus is wide, the VRW-GW interaction is  
92 dominant. Zhong et al. (2009) showed the existence of growing waves, in  
93 addition to VRWs in the central region and GWs in the outer region of an  
94 axisymmetric vortex in the shallow water system. In the vicinity of the eye-  
95 wall, the VRW and GW degenerate into a growing mixed wave. Menelaou et  
96 al. (2016) investigated the growth of disturbances on an axisymmetric vor-  
97 tex with nonmonotonic radial distributions of potential vorticity (i.e., sat-  
98 isfying Rayleigh's condition for the VRW-VRW interaction). They showed

99 the following. For the same Rossby number, the smaller Froude number im-  
100 plies the dominance of the VRW-VRW interaction, and the larger implies  
101 that of the VRW-GW interaction.

102 The barotropic (e.g., Heifetz et al. 1999) and baroclinic (e.g., Brether-  
103 ton 1966) instabilities, which are the typical growing mechanisms of atmo-  
104 spheric disturbances, are caused by the interaction of Rossby waves (RWs),  
105 and can be conceptually clearly grasped by the PV-thinking (e.g., Hoskins  
106 et al. 1985) in a concise way. The barotropic instability is caused by the  
107 horizontal interaction between RWs counter-propagating to each other (i.e.,  
108 satisfying Rayleigh’s condition). If the advection by the environmental flow  
109 enables the RWs to be phase-locked (i.e., satisfying Fjørtoft’s condition), the  
110 resonant interaction between the RWs occur and they grow. The baroclinic  
111 instability, which is the mechanism of the growth of midlatitude cyclones, is  
112 caused by the vertical interaction between the eastward propagating lower  
113 RW and the westward propagating upper RW. The advection by the west-  
114 erly wind increasing upward enables them to be phase-locked, resulting in  
115 the resonant interaction between them and their growth.

116 However, to our knowledge, there does not yet exist such a clear pic-  
117 ture for the VRW-GW interaction as that for the barotropic and baroclinic  
118 instability. Of course, the interaction between RWs (or vorticity waves in  
119 general) and GWs (i.e., buoyancy waves) has already long been discussed



120 (e.g., Cairns 1979; Sakai 1989), and the VRW-GW interaction here is simi-  
121 lar to the well-known Holmboe interaction (see e.g., Carpenter et al. 2011).  
122 In particular, as for stratified shear flow instability, Carpenter et al. (2011)  
123 discussed in detail the instability in a vertical-zonal 2-dimensional system  
124 from the point of wave interaction view. Roughly speaking, the interaction  
125 mechanism is described as follows: The vertical circulation induced by the  
126 horizontal vorticity perturbation amplifies the buoyancy perturbation, and  
127 at the same time the vertical circulation induced by the buoyancy pertur-  
128 bation amplifies the horizontal vorticity perturbation. On one hand, the  
129 RW-GW interaction in the vertical-zonal 2-dimensional system occurs be-  
130 tween horizontal vorticity and buoyancy waves. On the other hand, the  
131 VRW-GW interaction here, which takes place in a 3-dimensional system,  
132 occurs between vertical vorticity and buoyancy waves. Different from the  
133 RW-GW interaction (including the Holmboe interaction) in the vertical-  
134 zonal 2-dimensional system which is accompanied with the vertical circula-  
135 tions induced by the horizontal vorticity and buoyancy perturbations, the  
136 VRW-GW interaction here is caused by the horizontal circulation induced  
137 by the vertical vorticity perturbation and the vertical circulation induced by  
138 the buoyancy perturbation. Although the RW-GW interaction mechanism  
139 in the vertical-zonal 2-dimensional system is already conceptually clearly  
140 grasped, the VRW-GW interaction mechanism in the 3-dimensional system

141 cannot be grasped as a straightforward extension of the RW-GW interac-  
142 tion mechanism. The propagation and interaction of GWs are conceptually  
143 clearly grasped by the buoyancy-vorticity formulation ( Harnik et al. 2008),  
144 which we call the BV-thinking. In this paper, we propose a simple concep-  
145 tual model for the VRW-GW interaction base on the BV-thinking.

146 The organization of this paper is as follows. In section 2, the con-  
147 ceptual model of the VRW-GW interaction is proposed. In section 3, we  
148 analytically show the possibility of the VRW-GW interaction in the sys-  
149 tem linearized about a basic vortex. In section 4, in the linear system,  
150 we numerically obtain the growing solution compatible with the conceptual  
151 model. In section 5, concluding remarks are given.

## 152 2. Conceptual model of VRW-GW interaction

### 153 2.1 *Basic equations and assumptions*

154 We consider disturbances on a basic axisymmetric vortex. The basic  
155 vortex and disturbances are described in a cylindrical coordinate system  
156  $(r, \theta, z, t)$ , where  $r$  is the radius from the center of the basic vortex,  $\theta$  is  
157 the azimuth,  $z$  is the height, and  $t$  is the time. The fluid is assumed to  
158 be confined between two rigid horizontal boundaries at  $z = 0$  and  $z = H$ .  
159 Specifically, we assume a stably stratified barotropic Rankine vortex (see

160 Fig. 1)

Fig. 1

$$\bar{\zeta} = Z \text{ (positive constant) for } 0 < r \leq R, \text{ and } \bar{\zeta} = 0 \text{ for } R < r < \infty, \quad (1)$$

161 where  $\bar{\zeta} = \bar{\zeta}(r) = (1/r)d(r\bar{v})/dr$  is the basic vertical vorticity, and  $\bar{v} = \bar{v}(r)$   
162 is the basic azimuthal velocity. The basic angular velocity  $\bar{\omega} = \bar{\omega}(r) = \bar{v}/r$   
163 of the vortex in Eq. (1) is given by

$$\bar{\omega} = \frac{Z}{2} \text{ for } 0 < r \leq R, \text{ and } \bar{\omega} = \frac{ZR^2}{2r^2} \text{ for } R \leq r < \infty. \quad (2)$$

164 The stable stratification implies that the vertical gradient of the basic buoy-  
165 ancy  $\bar{b}$ , which is proportional to the basic potential temperature, is positive.

$$\frac{d\bar{b}}{dz} = N^2 > 0,$$

166 where  $N$  is the buoyancy frequency of the basic state, which is assumed to  
167 be constant. Because of the piecewise uniform distribution of  $\bar{\zeta}$  in Eq. (1),  
168 there is a negative radial gradient  $d\bar{\zeta}/dr < 0$  of the basic vertical vorticity  
169  $\bar{\zeta}$  at  $r = R$ .

$$\frac{d\bar{\zeta}}{dr} = -Z\delta(r - R), \quad (3)$$

170 where  $\delta(r)$  is Dirac's delta function.

171 The motion of the fluid is governed by the following equations.

$$\begin{aligned}
\frac{du}{dt} + \frac{\partial\phi}{\partial r} - \left(f + \frac{v}{r}\right)v &= 0, \\
\frac{dv}{dt} + \frac{1}{r}\frac{\partial\phi}{\partial\theta} + \left(f + \frac{v}{r}\right)u &= 0, \\
\frac{dw}{dt} + \frac{\partial\phi}{\partial z} - b &= 0, \\
\frac{db}{dt} &= 0, \\
\frac{1}{r}\frac{\partial}{\partial r}(ru) + \frac{1}{r}\frac{\partial v}{\partial\theta} + \frac{\partial w}{\partial z} &= 0,
\end{aligned} \tag{4}$$

172 where  $d/dt = \partial/\partial t + u\partial/\partial r + (v/r)\partial/\partial\theta + w\partial/\partial z$ , and the Coriolis parameter  
173  $f$  is assumed to be constant. The symbols in Eqs. (4) are defined as follows  
174 :  $u$ ,  $v$ , and  $w$  are respectively the radial, azimuthal, and vertical compo-  
175 nent of velocity,  $\phi$  is the pressure deviation from a quiescent reference state  
176 divided by the reference density, and  $b$ , which is called buoyancy, is the po-  
177 tential temperature deviation from the quiescent reference state divided by  
178 the reference potential temperature and multiplied by the gravitational ac-  
179 celeration. The first equation of Eqs. (4) is the radial momentum equation.  
180 The second is the azimuthal momentum equations. The third is the verti-  
181 cal momentum equation. The fourth is the thermodynamic equation. The  
182 fifth is the mass conservation equation with the Boussinesq approximation.

183 Linearized about the basic vortex in Eq. (1), Eqs. (4) become

$$\begin{aligned}
& \left( \frac{\partial}{\partial t} + \bar{\omega} \frac{\partial}{\partial \theta} \right) u' + \frac{\partial \phi'}{\partial r} - \bar{\xi} v' = 0, \\
& \left( \frac{\partial}{\partial t} + \bar{\omega} \frac{\partial}{\partial \theta} \right) v' + \frac{1}{r} \frac{\partial \phi'}{\partial \theta} + \bar{\zeta}^a u' = 0, \\
& \left( \frac{\partial}{\partial t} + \bar{\omega} \frac{\partial}{\partial \theta} \right) w' + \frac{\partial \phi'}{\partial z} - b' = 0, \\
& \left( \frac{\partial}{\partial t} + \bar{\omega} \frac{\partial}{\partial \theta} \right) b' + N^2 w' = 0, \\
& \frac{1}{r} \frac{\partial}{\partial r} (r u') + \frac{1}{r} \frac{\partial v'}{\partial \theta} + \frac{\partial w'}{\partial z} = 0,
\end{aligned} \tag{5}$$

184 where  $\bar{\xi} = f + 2\bar{\omega}$  is the basic inertial parameter,  $\bar{\zeta}^a = f + \bar{\zeta}$  is the basic  
185 absolute vertical vorticity, and the primed variables are of the disturbance.  
186 Hereafter, the primes are dropped for the presentation simplicity.

187 From Eqs. (5), the equation of disturbance potential vorticity  $q$  is de-  
188 rived.

$$\left( \frac{\partial}{\partial t} + \bar{\omega} \frac{\partial}{\partial \theta} \right) q + u \frac{d\bar{q}}{dr} = 0, \tag{6}$$

189 where  $\bar{q} = N^2 \bar{\zeta}^a$  is the basic potential vorticity,  $q = N^2 \zeta + \bar{\zeta}^a \partial b / \partial z$  is  
190 the disturbance potential vorticity,  $\zeta = (1/r) \partial(rv) / \partial r - (1/r) \partial u / \partial \theta$  is the  
191 disturbance vertical vorticity,  $v$  is the disturbance azimuthal velocity,  $u$  is  
192 the disturbance radial velocity, and  $b$  is the disturbance buoyancy, which  
193 is proportional to the disturbance potential temperature. From the second  
194 and third equations of Eqs. (5), the equation of disturbance radial vorticity

195  $\eta$  is derived.

$$\left(\frac{\partial}{\partial t} + \bar{\omega} \frac{\partial}{\partial \theta}\right) \eta - \frac{1}{r} \frac{\partial b}{\partial \theta} - f \frac{\partial u}{\partial z} = 0, \quad (7)$$

196 where  $\eta = (1/r)\partial w/\partial \theta - \partial v/\partial z$  is the disturbance radial vorticity, and  $w$  is  
 197 the disturbance vertical velocity.

198 In order for GWs to exist, the disturbance must have a baroclinic struc-  
 199 ture. This is because a barotropic structure cannot have vertical circulation.  
 200 We assume the simplest, that is, the first baroclinic structure of disturbance,  
 201 having a wavy structure in the azimuthal direction with a wave number  
 202  $m$  ( $\neq 0$ ).

$$\begin{aligned} a(r, \theta, z, t) &= \text{Re} [\hat{a}(r, t)e^{im\theta}] \cos \frac{\pi z}{H} \quad \text{for } a = u, v, \phi, \\ a(r, \theta, z, t) &= \text{Re} [\hat{a}(r, t)e^{im\theta}] \sin \frac{\pi z}{H} \quad \text{for } a = w, b. \end{aligned} \quad (8)$$

203 This is of course consistent with the vertical boundary condition, that is,  
 204 with the existence of free-slip rigid horizontal boundaries on  $z = 0$  and  
 205  $z = H$ , and with Eqs. (5). The baroclinic structure in Eqs. (8) implies  
 206 that the amplitude of  $\zeta$  is maximum on  $z = 0$  and  $z = H$ , and that the  
 207 amplitudes of  $\eta$  and  $b$  are maximum on  $z = H/2$ .

## 208 2.2 VRW

209 First, we briefly review the propagation mechanism of VRWs on the  
 210 basic axisymmetric vortex. When the vertical velocity is neglected, the dis-

211 turbulence buoyancy  $b$  vanishes and Eq. (6) of disturbance potential vorticity  
 212  $q$  is reduced to the equation of disturbance vertical vorticity  $\zeta$ .

$$\frac{\partial \zeta}{\partial t} = -\bar{\omega} \frac{\partial \zeta}{\partial \theta} - u \frac{d\bar{\zeta}}{dr}. \quad (9)$$

213 The stretching effect, which is present in the potential vorticity equation  
 214 (5), is absent in the vorticity equation (9). The negative radial gradient  
 215  $d\bar{\zeta}/dr < 0$  at  $r = R$  in Eq. (3) implies the generation of vertical vorticity  
 216 perturbation  $\zeta > 0$  ( $\zeta < 0$ ) by the radially outward (inward) advection of  
 217 the basic vertical vorticity  $\bar{\zeta}$  across  $r = R$ . On the assumption of the first  
 218 baroclinic structure in Eqs. (8), the vertical vorticity perturbation  $\zeta$  has  
 219 the maximum amplitude on  $z = 0$  and  $z = H$ . We display  $\zeta$  on  $z = 0$  in a  
 220 rectangular diagram in which the abscissa is the  $\theta$  axis pointing to the left,  
 221 and the ordinate is the  $r$  axis pointing upwards (see Fig. 2).

Fig. 2

222 We assume a wavy disturbance with  $\zeta > 0$  and  $\zeta < 0$  (see the top part of  
 223 Fig. 2). In Fig. 2, the black curves are the Iso- $(\bar{\zeta} + \zeta)$  lines. The disturbance  
 224 is advected downstream (that is, cyclonically) by the basic vortex flow. This  
 225 is expressed by the first term  $-\bar{\omega} \partial \zeta / \partial \theta$  on the RHS of Eq. (9). In addition  
 226 to the cyclonic advection, the disturbance azimuthally propagates. The  
 227 reason is as follows. Around  $\zeta > 0$  and  $\zeta < 0$ , there are induced cyclonic  
 228 and anticyclonic horizontal circulations, respectively. The associated radial  
 229 velocity perturbation  $u$  (black arrows  $\uparrow \downarrow \uparrow$  in the top part of Fig. 2) advects  
 230 the basic vertical vorticity  $\bar{\zeta}$ . This is expressed by the second term  $-u d\bar{\zeta}/dr$

231 on the RHS of Eq. (9). The radial advection of  $\bar{\zeta}$  generates new  $\zeta > 0$  and  
 232  $\zeta < 0$  on the upstream side of the old  $\zeta > 0$  and  $\zeta < 0$ , respectively  
 233 (see the bottom part of Fig. 2). As a result, the disturbance propagates  
 234 upstream (that is, anticyclonically) at  $r = R$ . The disturbance on  $z = H$   
 235 also propagates upstream. This is the VRW. Because of the dominance of  
 236 cyclonic advection by the basic angular velocity  $\bar{\omega} > 0$  over the anticyclonic  
 237 propagation due to the negative radial gradient  $d\bar{\zeta}/dr < 0$ , the VRW moves  
 238 cyclonically at  $r = R$ .

### 239 2.3 GW

240 Second, we briefly review the propagation mechanism of GWs. The  
 241 stable stratification  $N^2 = d\bar{b}/dz > 0$  implies the generation of buoyancy  
 242 perturbation  $b > 0$  ( $b < 0$ ) by the downward (upward) advection of the  
 243 basic buoyancy  $\bar{b}$ . Because of this, there may exist several kinds of GWs.  
 244 Here we consider a GW which is generated by the cyclonically moving VRW  
 245 at  $r = R$ , and is cyclonically propagating at some outer radius  $r = \tilde{R} (> R)$ .  
 246 When the radial velocity  $u$  is neglected, the motion becomes  $(\theta, z)$  two  
 247 dimensional, and Eq. (7) of disturbance radial vorticity  $\eta$  is reduced to the  
 248 two dimensional form. This is given together with the fourth equation of



249 Eqs. (5) by

$$\frac{\partial \eta}{\partial t} = -\bar{\omega} \frac{\partial \eta}{\partial \theta} + \frac{1}{r} \frac{\partial b}{\partial \theta}, \quad (10)$$

$$\frac{\partial b}{\partial t} = -\bar{\omega} \frac{\partial b}{\partial \theta} - w \frac{d\bar{b}}{dz}. \quad (11)$$

250 Because of the first baroclinic structure in Eqs. (8), the  $\eta$  and  $b$  perturbations  
 251 vanish on  $z = 0$  and  $z = H$ , and their amplitude is maximum on  $z = H/2$ .  
 252 We display  $\{\eta, b\}$  in a rectangular diagram, in which the abscissa is the  $\theta$   
 253 axis pointing to the left, and the ordinate is the  $z$  axis pointing upwards  
 254 (see Fig. 3).

Fig. 3

255 We assume a wavy disturbance with  $\{\eta > 0, b < 0\}$  and  $\{\eta < 0, b > 0\}$   
 256 on  $z = H/2$  (see the top part of Fig. 3). In Fig. 3, the black curves are the  
 257 Iso- $(\bar{b} + b)$  lines, and red circles with arrows represent  $\eta$ . The disturbance is  
 258 advected downstream (that is, cyclonically) by the basic vortex flow. This  
 259 is expressed by the first terms  $-\bar{\omega} \partial \eta / \partial \theta$  and  $-\bar{\omega} \partial b / \partial \theta$  on the RHSs of  
 260 Eqs. (10) and (11). In addition to the cyclonic advection, the disturbance  
 261 azimuthally propagates. The reason is as follows. Around the positive  
 262 and negative radial vorticity perturbations  $\eta > 0$  and  $\eta < 0$ , there are  
 263 induced clockwise and anticlockwise vertical circulations, respectively. The  
 264 associated vertical velocity perturbation  $w$  (red arrows  $\downarrow \uparrow \downarrow$  in the middle  
 265 part of Fig.3) advects the basic buoyancy  $\bar{b}$ . This is expressed by the second  
 266 term  $-w d\bar{b}/dz$  of the RHS of Eq. (11). The vertical advection of  $\bar{b}$  generates

267 new  $b < 0$  and  $b > 0$  downstream side of the old  $\eta > 0$  and  $\eta < 0$ , that is,  
268 of the old  $b < 0$  and  $b > 0$ , respectively (compare the middle part with the  
269 top part of Fig. 3).

270 At the same time, the positive and negative buoyancy perturbations  
271  $b > 0$  and  $b < 0$  imply the horizontal gradient of buoyancy force (black  
272 arrows  $\uparrow\downarrow$  in the bottom part of Fig. 3). The gradient generates  $\eta$ . This  
273 is expressed by the second term  $(1/r)\partial b/\partial\theta$  on the RHS of Eq. (10). The  
274 buoyancy gradient generates new  $\eta > 0$  and  $\eta < 0$  downstream side of the  
275 old  $b < 0$  and  $b > 0$ , that is, of the old  $\eta > 0$  and  $\eta < 0$ , respectively  
276 (compare the bottom part with the top part of Fig. 3).

277 As a result, the wavy disturbance with  $\{\eta > 0, b < 0\}$  and  $\{\eta < 0, b >$   
278  $0\}$  propagates downstream (that is, cyclonically) on  $z = H/2$ . This is the  
279 GW. Since both the advection and propagation are downstream (that is,  
280 cyclonic), the GW moves cyclonically on  $z = H/2$ .

281 The cyclonically propagating GW resonantly interacts with the anti-  
282 cyclonically propagating VRW as depicted in Subsection 2.4. There exists  
283 another GW with  $b$  and  $\eta$  in phase which propagates anti-cyclonically with  
284 respect to the basic flow and does not directly resonantly interact with the  
285 anti-cyclonically propagating VRW. However, the anti-cyclonically propa-  
286 gating GW may indirectly contribute to the resonant VRW-GW interaction  
287 as in the case of the resonant interaction between GWs (Rabinovich et al.

288 2011).

#### 289 2.4 *VRW-GW interaction*

290 We assume weak vertical motion in the central region near  $r = R$  and  
291 weak radial motion in the outer region near  $r = \tilde{R}$  so that the above men-  
292 tioned VRW at  $r = R$  and GW at  $r = \tilde{R}$  can basically exist. Because of the  
293 first baroclinic structure in Eqs. (8), the amplitude of the VRW is maximum  
294 on  $z = 0$  and  $z = H$ . The vertical vorticity perturbation  $\zeta$  of the lower VRW  
295 is opposite-signed to that of the upper VRW. While, the amplitude of the  
296 GW is maximum on  $z = H/2$ . Since the VRW anticyclonically propagates  
297 and the GW cyclonically propagates, they are counter-propagating to each  
298 other. Further, since both the VRW and GW move cyclonically, they may  
299 satisfy Fjørtoft's condition for instability, and they may be phase-locked  
300 with each other. Here we assume that the counter-propagating VRW and  
301 GW are phase-locked with phase difference  $\pi/2$ . Comoving with the phase-  
302 locked VRW and GW, we display the disturbance in a rectangular diagram  
303 consisting of three parts in Fig. 4. The corresponding 3-dimensional and  
304 plan views are depicted in Fig. 5, and Fig. 6, respectively.

305 In the top, middle, and bottom parts of Fig. 4, the abscissa is the  $\theta$  axis  
306 pointing to the left. In the top part, the vertical vorticity perturbation  $\zeta$  of  
307 the upper VRW at  $r = R$  is depicted. The ordinate is the  $r$  axis pointing

Fig. 4

Fig. 5

Fig. 6

308 downwards. The black curve is the Iso- $(\bar{\zeta} + \zeta)$  line.

309 In the middle part, the radial vorticity perturbation  $\eta$  and buoyancy  
310 perturbation  $b$  of the GW at  $r = \tilde{R}$  are depicted. The ordinate is the  $z$  axis  
311 pointing upwards. The black curve is the Iso- $(\bar{b} + b)$  line, and the red circles  
312 with arrows represent  $\eta$ .

313 In the bottom part, the vertical vorticity perturbation  $\zeta$  of the lower  
314 VRW at  $r = R$  is depicted. The ordinate is the  $r$  axis pointing upwards.  
315 The black curve is the Iso- $(\bar{\zeta} + \zeta)$  line.

316 The upper and lower  $\zeta$  of VRWs at  $r = R$  induce radial velocities  $u > 0$   
317 and  $u < 0$  (black arrows  $\uparrow\downarrow\uparrow$  in the top and bottom parts of Fig. 4).  
318 The radial velocities  $u > 0$  and  $u < 0$  generate vertical divergence (VD)  
319 and convergence (VC) (black circles with VD and with VC) at  $r = \tilde{R}$ ,  
320 respectively. The upper VC and lower VD cause upward velocity  $w > 0$   
321 (black short uparrow  $\uparrow$  in the middle part of Fig. 4), which increases the  
322 amplitude of  $b < 0$ . In the same way, the upper VD and lower VC cause  
323 downward velocity  $w < 0$  (black short downarrow  $\downarrow$  in the middle of Fig. 4),  
324 which increases the amplitude of  $b > 0$ .

325 At the same time, the vertical velocities  $w > 0$  and  $w < 0$  (red arrows  
326  $\downarrow\uparrow\downarrow$  in the middle part of Fig. 4) around the middle  $\eta$  generate horizontal  
327 divergence (**HD**) and convergence (**HC**) on the upper and lower levels at  
328  $r = \tilde{R}$  (red circles with **HD** and with **HC**). The upper **HD** and **HC** cause

329 inward and outward velocities  $u < 0$  and  $u > 0$  on  $z = H$  (red short uparrow  
 330  $\uparrow$  and downarrow  $\downarrow$  in the top part of Fig. 4), which increase the amplitude  
 331 of the upper  $\zeta < 0$  and  $\zeta > 0$ , respectively. In the same way, the lower **HD**  
 332 and **HC** cause inward and outward velocities  $u < 0$  and  $u > 0$  on  $z = 0$  (red  
 333 short downarrow  $\downarrow$  and uparrow  $\uparrow$  in the bottom part of Fig. 4), which  
 334 increase the amplitude of the lower  $\zeta < 0$  and  $\zeta > 0$ , respectively.

335 As a result, the VRW and GW mutually reinforce and grow.

### 336 **3. Analytical consideration**

337 In this section, the possibility of the resonant VRW-GW interaction is  
 338 analytically shown. Most of the equations of this section (and their defor-  
 339 mation) in themselves are not important or essential. They are presented  
 340 only for the purpose of deriving Equation (36) which shows the possibility  
 341 of the resonant interaction between the central VRW and the outer GW.

#### 342 *3.1 Nondimensional hydrostatic system*

343 For the mathematical simplicity, here and hereafter we assume the hy-  
 344 drostatic balance, which means the replacement of the third equation of  
 345 Eqs. (5) with the hydrostatic equation  $\partial\phi/\partial z = b$ . Then, the last three

346 equations of Eqs. (5) are combined into one equation,

$$\left(\frac{\partial}{\partial t} + \bar{\omega} \frac{\partial}{\partial \theta}\right) \frac{\partial^2 \phi}{\partial z^2} - N^2 \left\{ \frac{1}{r} \frac{\partial}{\partial r} (ru) + \frac{1}{r} \frac{\partial v}{\partial \theta} \right\} = 0. \quad (12)$$

347 In the mode assumed in Eqs. (8), the first two equations of Eqs. (5) and  
348 (12) become

$$\begin{aligned} \left(\frac{\partial}{\partial t} + im\bar{\omega}\right) \hat{u} + \frac{\partial \hat{\phi}}{\partial r} - \bar{\xi} \hat{v} &= 0, \\ \left(\frac{\partial}{\partial t} + im\bar{\omega}\right) \hat{v} + \frac{im}{r} \hat{\phi} + \bar{\zeta}^a \hat{u} &= 0, \\ \left(\frac{\partial}{\partial t} + im\bar{\omega}\right) \hat{\phi} + \frac{N^2 H^2}{\pi^2} \left\{ \frac{1}{r} \frac{\partial}{\partial r} (r\hat{u}) + \frac{im}{r} \hat{v} \right\} &= 0. \end{aligned} \quad (13)$$

349 We introduce the following nondimensional variables,

$$t \rightarrow \frac{1}{Z} t, \quad r \rightarrow Rr, \quad (\bar{\omega}, \bar{\xi}, \bar{\zeta}^a) \rightarrow Z(\bar{\omega}, \bar{\xi}, \bar{\zeta}^a) \quad (\hat{u}, \hat{v}) \rightarrow [\hat{u}](\hat{u}, \hat{v}), \quad \hat{\phi} \rightarrow [\hat{\phi}]\hat{\phi}, \quad (14)$$

350 where  $Z$  and  $R$  are respectively the central vorticity and radius of the basic  
351 Rankine vortex in Eq. (1), and  $[\hat{u}]$  and  $[\hat{\phi}]$  are respectively the representative  
352 absolute values of  $\hat{u}$  and  $\hat{\phi}$ . From the balance in the first two equations of  
353 Eqs. (13), the representative values  $[\hat{u}]$  and  $[\hat{\phi}]$  are related as

$$[\hat{\phi}] = RZ[\hat{u}]. \quad (15)$$

354 By the substitution of Eqs. (14) and (15), Eqs. (13) are nondimensionalized  
 355 as follows,

$$\begin{aligned}
 & \left( \frac{\partial}{\partial t} + im\bar{\omega} \right) \hat{u} + \frac{\partial \hat{\phi}}{\partial r} - \bar{\xi} \hat{v} = 0, \\
 & \left( \frac{\partial}{\partial t} + im\bar{\omega} \right) \hat{v} + \frac{im}{r} \hat{\phi} + \bar{\zeta}^a \hat{u} = 0, \\
 & \left( \frac{\partial}{\partial t} + im\bar{\omega} \right) \hat{\phi} + \gamma \left\{ \frac{1}{r} \frac{\partial}{\partial r} (r\hat{u}) + \frac{im}{r} \hat{v} \right\} = 0 \quad \text{with} \quad \gamma = \left( \frac{NH}{\pi RZ} \right)^2, \quad (16)
 \end{aligned}$$

356 where the nondimensional basic variables  $\bar{\omega}$ ,  $\bar{\xi}$ , and  $\bar{\zeta}^a$  are respectively given  
 357 by

$$\begin{aligned}
 \bar{\omega} &= \frac{1}{2} \quad \text{for} \quad 0 < r < 1, \quad \text{and} \quad \bar{\omega} = \frac{1}{2r^2} \quad \text{for} \quad 1 < r < \infty, \\
 \bar{\xi} &= 1 + \frac{f}{Z} \quad \text{for} \quad 0 < r < 1, \quad \text{and} \quad \bar{\xi} = \frac{1}{r^2} + \frac{f}{Z} \quad \text{for} \quad 1 < r < \infty, \\
 \bar{\zeta}^a &= 1 + \frac{f}{Z} \quad \text{for} \quad 0 < r < 1, \quad \text{and} \quad \bar{\zeta}^a = \frac{f}{Z} \quad \text{for} \quad 1 < r < \infty. \quad (17)
 \end{aligned}$$

358 For a fixed wave number  $m$ , the nondimensional equations in Eqs. (16)  
 359 includes two parameters  $f/Z$  and  $\gamma$ . We assume a typical tropical cyclone  
 360 at latitude  $\approx 20^\circ$  with the central vorticity  $Z \approx \text{a few} \times 10^{-3} \text{ s}^{-1}$ . Then,  
 361 because of  $f \approx 5 \times 10^{-5} \text{ s}^{-1}$ , the first parameter is estimated as  $f/Z \approx$   
 362  $[5 \times 10^{-5}]/[\text{a few} \times 10^{-3}]$ . So, we set

$$\frac{f}{Z} = 0.02$$

363 for the numerical calculation in section 4. We assume the Rankine radius  
 364  $R \approx 5 \times 10^4 \text{ m}$  (representative radius of maximum wind) and the fluid depth

365  $H \approx 10^4$  m (representative depth of the troposphere). The representative  
366 value of the buoyancy frequency is  $N \approx 10^{-2}$  s $^{-1}$ . However, because of the  
367 vertical convection together with diabatic heating/cooling in the central  
368 typhoon region and therearound, the buoyancy frequency is reduced there.  
369 So, we assume  $0 < N \lesssim 5 \times 10^{-3}$  s $^{-1}$ . Then, the second parameter is  
370 estimated as

$$0 < \gamma = \left( \frac{NH}{\pi RZ} \right)^2 \lesssim \left( \frac{5 \times 10^{-3} \times 10^4}{\pi \times 5 \times 10^4 \times [\text{a few} \times 10^{-3}]} \right)^2 = \left( \frac{1}{\text{a few} \times \pi} \right)^2 \approx 0.02.$$

### 371 3.2 Vorticity and divergence system

372 From the first two equations of Eqs. (16), the following vertical vorticity  
373 and horizontal divergence equations are derived,

$$\begin{aligned} \left( \frac{\partial}{\partial t} + im\bar{\omega} \right) \hat{\zeta} + \frac{d\bar{\zeta}^a}{dr} \hat{u} + \bar{\zeta}^a \hat{D} &= 0, \\ \left( \frac{\partial}{\partial t} + im\bar{\omega} \right) \hat{D} + \hat{\partial}^2 \hat{\phi} + 2 \frac{d\bar{\omega}}{dr} (im\hat{u} - \hat{v}) - \bar{\xi} \hat{\zeta} &= 0, \end{aligned} \quad (18)$$

374 where  $\hat{\zeta} = (1/r)(\partial/\partial r)(r\hat{v}) - (im/r)\hat{u}$  is the disturbance vertical vorticity,  
375  $\hat{D} = (1/r)(\partial/\partial r)(r\hat{u}) + (im/r)\hat{v}$  is the disturbance horizontal divergence,  
376 and  $\hat{\partial}^2 = (1/r)(\partial/\partial r)r(\partial/\partial r) - (m^2/r^2)$  is the horizontal Laplacian opera-  
377 tor. The disturbance horizontal velocity  $(\hat{u}, \hat{v})$ , which is assumed to vanish  
378 at infinity, can be decomposed into the rotational component  $(u^R, v^R)$  and  
379 divergent component  $(u^D, v^D)$ , which are respectively written in terms of



380 the stream function  $\Psi$  and the velocity potential  $\Phi$  as

$$\hat{u} = u^R + u^D = -\frac{im}{r}\Psi + \frac{\partial\Phi}{\partial r}, \quad \hat{v} = v^R + v^D = \frac{\partial\Psi}{\partial r} + \frac{im}{r}\Phi. \quad (19)$$

381 The disturbance vertical vorticity  $\hat{\zeta}$  and horizontal divergence  $\hat{D}$  are respec-  
 382 tively expressed in terms of the stream function  $\Psi$  and the velocity potential  
 383  $\Phi$  as

$$\hat{\zeta} = \hat{\partial}^2\Psi \quad \text{and} \quad \hat{D} = \hat{\partial}^2\Phi. \quad (20)$$

384 By the inversion of Eqs. (20), the stream function  $\Psi$  and the velocity po-  
 385 tential  $\Phi$  are respectively expressed in terms of the Green function  $G(r, r')$   
 386 as functionals of  $\hat{\zeta}$  and  $\hat{D}$ ,

$$\Psi(r, t) = \int_0^\infty dr' G(r, r') \hat{\zeta}(r', t) \quad \text{and} \quad \Phi(r, t) = \int_0^\infty dr' G(r, r') \hat{D}(r', t), \quad (21)$$

387 where the Green function is the solution of

$$\hat{\partial}^2 G(r, r') = \delta(r - r') \quad \text{under boundary conditions} \quad \lim_{r \rightarrow 0} G(r, r') < \infty \quad \text{and} \quad \lim_{r \rightarrow \infty} G(r, r') = 0,$$

388 and is given by

$$G(r, r') = -\frac{r'}{2m} \left(\frac{r}{r'}\right)^m \quad \text{for } r < r', \quad \text{and} \quad G(r, r') = -\frac{r'}{2m} \left(\frac{r'}{r}\right)^m \quad \text{for } r > r'. \quad (22)$$

389 Substituting Eqs. (19) and (21) into Eqs. (18), together with the third  
 390 equation of Eqs. (16), gives a closed system for  $\hat{\zeta}$ ,  $\hat{D}$ , and  $\hat{\phi}$ ,

$$\begin{aligned} \left(\frac{\partial}{\partial t} + im\bar{\omega}\right) \hat{\zeta}(r, t) - \frac{im}{r} \frac{d\bar{\zeta}^a}{dr} \int_0^\infty dr' G(r, r') \hat{\zeta}(r', t) \\ + \frac{d\bar{\zeta}^a}{dr} \frac{\partial}{\partial r} \int_0^\infty dr' G(r, r') \hat{D}(r', t) + \bar{\zeta}^a \hat{D}(r, t) = 0, \quad (23) \\ \left(\frac{\partial}{\partial t} + im\bar{\omega}\right) \hat{D}(r, t) + \hat{\partial}^2 \hat{\phi}(r, t) + i2m \frac{d\bar{\omega}}{dr} \left(\frac{\partial}{\partial r} - \frac{1}{r}\right) \int_0^\infty dr' G(r, r') \hat{D}(r', t) \\ + 2 \frac{d\bar{\omega}}{dr} \left(\frac{m^2}{r} - \frac{\partial}{\partial r}\right) \int_0^\infty dr' G(r, r') \hat{\zeta}(r', t) - \bar{\xi} \hat{\zeta}(r, t) = 0, \end{aligned}$$

(24)

$$\left(\frac{\partial}{\partial t} + im\bar{\omega}\right) \hat{\phi}(r, t) + \gamma \hat{D}(r, t) = 0. \quad (25)$$

### 391 3.3 Equation of VRW

392 Eliminating the horizontal divergence  $\hat{D}$  from the first equation of Eqs. (18)  
 393 and Eq. (25) gives the potential vorticity equation.

$$\left(\frac{\partial}{\partial t} + im\bar{\omega}\right) \hat{q} + \frac{d\bar{q}}{dr} \hat{u} = 0, \quad (26)$$

394 where  $\bar{q} = \gamma \bar{\zeta}^a$  is the basic potential vorticity, and  $\hat{q} = \gamma \hat{\zeta} - \bar{\zeta}^a \hat{\phi}$  is the  
 395 disturbance potential vorticity. For the assumed Rankine vortex in Eqs. (1),  
 396 the basic absolute vertical vorticity  $\bar{\zeta}^a = \bar{\zeta} + f/Z$  is piecewise uniform and  
 397 has a singular radial gradient in Eq. (3), and so the basic potential vorticity  
 398  $\bar{q} = \gamma \bar{\zeta}^a$  has the same singularity,

$$\frac{d\bar{\zeta}^a}{dr} = -\delta(r-1) \quad \text{and} \quad \frac{d\bar{q}}{dr} = -\gamma \delta(r-1). \quad (27)$$

399 From Eqs. (26) and (27), the potential vorticity perturbation  $\hat{q}(r, t)$  consists  
 400 of a singular part  $\hat{q}_R(t)\delta(r - 1)$  and a nonsingular part  $\tilde{q}(r, t)$ ,

$$\hat{q}(r, t) = \hat{q}_R(t)\delta(r - 1) + \tilde{q}(r, t).$$

401 The singular part comes from the singular part  $\hat{\zeta}_R(t)\delta(r - 1)$  of the vertical  
 402 vorticity perturbation,

$$\hat{\zeta}(r, t) = \hat{\zeta}_R(t)\delta(r - 1) + \tilde{\zeta}(r, t), \quad (28)$$

403 which is caused by the piecewise uniform distribution of the basic vertical  
 404 vorticity  $\bar{\zeta}$  in Eqs. (1). From Eq. (26), the nonsingular part  $\tilde{q} = \gamma\tilde{\zeta} - \bar{\zeta}^a\hat{\phi}$   
 405 satisfies

$$\left(\frac{\partial}{\partial t} + im\bar{\omega}\right)\tilde{q} = 0.$$

406 Under a null initial condition  $\tilde{q}(r, 0) = 0$ , this equation implies that  $\tilde{q}(r, t) =$   
 407  $0$ . The null initial condition is naturally assumed since a perturbation  $\hat{q} \neq$   
 408  $0$  is not generated by the displacement of fluid particles in the region of  
 409 uniform  $\bar{q}$ . In other words, the non-zero perturbation  $\hat{q}$  can be generated  
 410 only by fluid particles crossing  $r = 1$ , resulting in the singular perturbation.  
 411 The vanishing  $\tilde{q}(r, t) = 0$  implies that

$$\tilde{\zeta}(r, t) = \frac{1}{\gamma}\bar{\zeta}^a\hat{\phi}(r, t). \quad (29)$$

412 Substituting Eq. (28) into Eq. (23), and calculating  $\lim_{\epsilon \rightarrow 0} \int_{1-\epsilon}^{1+\epsilon} dr (\dots)$

413 gives

$$\begin{aligned} \left\{ \frac{\partial}{\partial t} + im\bar{\omega}(1) \right\} \hat{\zeta}_R(t) + imG(1, 1)\hat{\zeta}_R(t) \\ + im \int_0^\infty dr' G(1, r')\tilde{\zeta}(r', t) - \int_0^\infty dr' \left[ \frac{\partial G(r, r')}{\partial r} \right]_{r=1} \hat{D}(r', t) = 0. \end{aligned} \quad (30)$$

414 Further substituting Eqs. (22) and (29) into Eq. (30) gives

$$\begin{aligned} \frac{\partial}{\partial t} \hat{\zeta}_R(t) + \frac{im}{2} \hat{\zeta}_R(t) - \frac{i}{2} \hat{\zeta}_R(t) = \frac{i}{2\gamma} \left( 1 + \frac{f}{Z} \right) \int_0^1 dr r^{1+m} \hat{\phi}(r, t) + \frac{1}{2} \int_0^1 dr r^{1+m} \hat{D}(r, t) \\ + \frac{i}{2\gamma} \frac{f}{Z} \int_1^\infty dr r^{1-m} \hat{\phi}(r, t) - \frac{1}{2} \int_1^\infty dr r^{1-m} \hat{D}(r, t), \end{aligned} \quad (31)$$

415 where  $\bar{\omega}(1) = 1/2$ ,  $\bar{\zeta}^a = 1 + f/Z$  for  $0 < r < 1$ , and  $\bar{\zeta}^a = f/Z$  for  $1 < r < \infty$   
416 were used. This is the equation of the VRW on  $r = 1$ . The second term on  
417 the LHS of Eq. (31) represents the cyclonic advection by the basic angular  
418 velocity  $\bar{\omega}(1) = 1/2$ . The third term represents the anticyclonic propagation  
419 due to the basic vertical vorticity gradient  $d\bar{\zeta}^a/dr = -\delta(r - 1)$ . The terms  
420 on the RHS of Eq. (31) represent the interaction between the VRW on  $r = 1$   
421 and the GW in the inner  $0 < r < 1$  and outer  $1 < r < \infty$  regions.

### 422 3.4 Equation of GW

423 Apart from the singular part, which leads to Eq. (31), Eq. (23) becomes  
424 identical to Eq. (25) because of Eq. (29). As for the singular part of Eq. (24),

425 substituting Eq. (28) into Eq. (24), and calculating  $\lim_{\epsilon \rightarrow 0} \int_{1-\epsilon}^{1+\epsilon} dr (\dots)$  gives

$$\lim_{\epsilon \rightarrow 0} \left[ \frac{\partial \hat{\phi}(r, t)}{\partial r} \right]_{1-\epsilon}^{1+\epsilon} = \bar{\xi}(1) \hat{\zeta}_R(t) = \left( 1 + \frac{f}{Z} \right) \hat{\zeta}_R(t). \quad (32)$$

426 So, the first radial derivative of  $\hat{\phi}(r, t)$  is discontinuous at  $r = 1$ . Substi-  
 427 tuting Eqs. (28) and (29) into Eq. (24), together with Eq. (25), for  $r \neq 1$   
 428 gives

$$\begin{aligned} \left( \frac{\partial}{\partial t} + im\bar{\omega} \right) \hat{D}(r, t) + \hat{\partial}^2 \hat{\phi}(r, t) + i2m \frac{d\bar{\omega}}{dr} \left( \frac{\partial}{\partial r} - \frac{1}{r} \right) \int_0^\infty dr' G(r, r') \hat{D}(r', t) \\ + 2 \frac{d\bar{\omega}}{dr} \left( \frac{m^2}{r} - \frac{\partial}{\partial r} \right) G(r, 1) \hat{\zeta}_R(t) \\ + \frac{2}{\gamma} \frac{d\bar{\omega}}{dr} \left( \frac{m^2}{r} - \frac{\partial}{\partial r} \right) \int_0^\infty dr' G(r, r') \bar{\zeta}^a(r') \hat{\phi}(r', t) - \frac{\bar{\zeta}^a \bar{\xi}}{\gamma} \hat{\phi}(r, t) = 0, \\ \left( \frac{\partial}{\partial t} + im\bar{\omega} \right) \hat{\phi}(r, t) + \gamma \hat{D}(r, t) = 0 \quad \text{for } r \neq 1. \end{aligned} \quad (33)$$

429 Further substituting Eqs. (22) into Eqs. (33) gives

$$\begin{aligned} \frac{\partial}{\partial t} \begin{bmatrix} \hat{D}(r, t) \\ \hat{\phi}(r, t) \end{bmatrix} + \begin{bmatrix} im\bar{\omega} & 0 \\ 0 & im\bar{\omega} \end{bmatrix} \begin{bmatrix} \hat{D}(r, t) \\ \hat{\phi}(r, t) \end{bmatrix} + \begin{bmatrix} 0 & \hat{\partial}^2 - \frac{\bar{\zeta}^a \bar{\xi}}{\gamma} \\ \gamma & 0 \end{bmatrix} \begin{bmatrix} \hat{D}(r, t) \\ \hat{\phi}(r, t) \end{bmatrix} \\ + \begin{bmatrix} i2m \frac{d\bar{\omega}}{dr} \left( \frac{\partial}{\partial r} - \frac{1}{r} \right) \int_0^\infty dr' G(r, r') & \frac{2}{\gamma} \frac{d\bar{\omega}}{dr} \left( \frac{m^2}{r} - \frac{\partial}{\partial r} \right) \int_0^\infty dr' G(r, r') \bar{\zeta}^a(r') \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \hat{D}(r', t) \\ \hat{\phi}(r', t) \end{bmatrix} \\ = \begin{bmatrix} (m+1) \frac{d\bar{\omega}}{dr} r^{-(m+1)} \hat{\zeta}_R(t) \\ 0 \end{bmatrix} \quad \text{for } r \neq 1. \end{aligned} \quad (34)$$

430 This is the equation of the GW. The second term on the LHS of Eq. (34)  
 431 represents the cyclonic advection by the basic angular velocity  $\bar{\omega}(r)$ . The

432 third term represents the azimuthal (and radial) propagation due to the  
 433 stable stratification  $\gamma > 0$ , and the inertial oscillation due to  $\bar{\zeta}^a \bar{\xi} > 0$ . The  
 434 fourth term represents the effect of  $d\bar{\omega}/dr \neq 0$ . The term on the RHS of  
 435 Eq. (34) represents the interaction between the GW and the VRW on  $r = 1$ .  
 436 Since  $d\bar{\omega}/dr = 0$  in the inner region  $0 < r < 1$ , the terms including  $d\bar{\omega}/dr$   
 437 vanish there. In particular, the interaction term exists only in the outer  
 438 region  $1 < r < \infty$ .

### 439 3.5 Interaction between VRW and GW

440 Since the RHS of Eq. (34), which represents the interaction between the  
 441 VRW and GW, exists only in the outer region, the mutual interaction is  
 442 expected to take place between the VRW on  $r = 1$  and the GW in the  
 443 outer region  $1 < r < \infty$ . From Eqs. (31) and (34), the primary interaction  
 444 between the VRW on  $r = 1$  and the GW in the outer region  $1 < r < \infty$  is  
 445 described by the following equation,

$$\begin{aligned}
 \frac{\partial}{\partial t} \hat{\zeta}_R(t) + \dots &= -\frac{1}{2} \int_1^\infty dr r^{1-m} \hat{D}(r, t), \\
 \frac{\partial}{\partial t} \hat{D}(r, t) + \dots &= -(m+1) \left| \frac{d\bar{\omega}(r)}{dr} \right| r^{-(m+1)} \hat{\zeta}_R(t).
 \end{aligned} \tag{35}$$

446 Differentiating the first equation of Eqs. (35) with respect to time  $t$ , and  
 447 then substituting the second equation gives

$$\frac{\partial^2}{\partial t^2} \hat{\zeta}_R(t) + \dots = \sigma^2 \hat{\zeta}_R(t) \quad \text{with} \quad \sigma^2 = \frac{m+1}{2} \int_1^\infty dr r^{-2m} \left| \frac{d\bar{\omega}(r)}{dr} \right| = \left( \frac{1}{2} \right)^2, \quad (36)$$

448 where  $d\bar{\omega}/dr = -1/r^3$  was used. Since the constant  $\sigma^2 = (1/2)^2$  in Eq. (36)  
 449 is positive, we can expect exponential growth of  $\hat{\zeta}_R(t) \sim e^{\sigma t} = e^{(1/2)t}$  by the  
 450 interaction.

451 The minus signs of the RHSs of Eqs. (35) imply the following. If  $\hat{\zeta}_R(t)$   
 452 on  $r = 1$  and  $\hat{D}(r, t)$  at some radius  $\tilde{r} > 1$  are phase-locked with a phase  
 453 difference  $\pi$ , then they amplify each other, and then they may exponentially  
 454 grow.

455 The amplifying mechanism described by the first equation of Eqs. (35)  
 456 is simple. The horizontal convergence  $\hat{D}(r, t) < 0$  at the radius  $\tilde{r} > 1$  (**HC**  
 457 in Fig. 4) is accompanied with a radially outflow at  $r = 1$  ( $\Downarrow$  in the top  
 458 of Fig. 4, and  $\Uparrow$  in the bottom of Fig. 4), which advects the basic vertical  
 459 vorticity outward and amplifies the phase-locked positive vertical vorticity  
 460 perturbation  $\hat{\zeta}_R(t) > 0$  at  $r = 1$  ( $\zeta > 0$  in Fig. 4). In the same way, the  
 461 horizontal divergence  $\hat{D}(r, t) > 0$  at the radius  $\tilde{r} > 1$  (**HD** in Fig. 4) is  
 462 accompanied with a radially inflow at  $r = 1$  ( $\Uparrow$  in the top of Fig. 4, and  $\Downarrow$  in  
 463 the bottom of Fig. 4), which advects the basic vertical vorticity inward and  
 464 amplifies the phase-locked negative vertical vorticity perturbation  $\hat{\zeta}_R(t) < 0$

465 at  $r = 1$  ( $\zeta < 0$  in Fig. 4).

466 While, the amplifying mechanism described by the second equation of  
467 Eqs. (35) is somewhat complicated. Let us consider the lowermost level  
468 on  $z = 0$ . The positive vertical vorticity perturbation  $\hat{\zeta}_R(t) > 0$  at  $r = 1$   
469 on  $z = 0$  ( $\zeta > 0$  in the bottom of Fig. 4) is accompanied with a cyclonic  
470 horizontal circulation ( $\downarrow\uparrow$  in the bottom of Fig. 4). The radially outflow  
471 branch of the circulation (the rightmost  $\uparrow$  in the bottom of Fig. 4), which  
472 lies one quarter wavelength upstream, may cause updraft at the radius  
473  $\tilde{r} > 1$  ( $\uparrow$  in the middle of Fig. 4). The updraft amplifies the negative  
474 buoyancy perturbation (i.e., negative potential temperature perturbation)  
475 ( $b < 0$  in the middle of Fig.4) of the phase-locked gravity wave which  
476 is anticyclonically propagating. The anticyclonically propagating negative  
477 buoyancy perturbation is accompanied with a vertical circulation ( $\uparrow\downarrow$  in  
478 the middle of Fig. 4). The updraft branch of the circulation ( $\uparrow$  in the  
479 middle of Fig. 4), which lies one quarter wavelength downstream, amplifies  
480 the phase-locked horizontal convergence  $\hat{D}(r, t) < 0$  at the radius  $\tilde{r} > 1$   
481 on  $z = 0$  (HC in the bottom of Fig. 4). In the same way, the negative  
482 vertical vorticity perturbation  $\hat{\zeta}_R(t) < 0$  at  $r = 1$  on  $z = 0$  ( $\zeta < 0$  in the  
483 bottom of Fig. 4) is accompanied with an anticyclonic horizontal circulation  
484 ( $\uparrow\downarrow$  in the bottom of Fig.4). The radially inflow branch of the circulation  
485 ( $\downarrow$  in the bottom of Fig. 4), which lies one quarter wavelength upstream,



486 may cause downdraft at the radius  $\tilde{r} > 1$  ( $\Downarrow$  in the middle of Fig. 4).  
 487 The downdraft amplifies the positive buoyancy perturbation (i.e., positive  
 488 potential temperature perturbation) ( $b > 0$  in the middle of Fig. 4) of  
 489 the phase-locked gravity wave which is anticyclonically propagating. The  
 490 anticyclonically propagating positive buoyancy perturbation is accompanied  
 491 with a vertical circulation ( $\Downarrow\Uparrow$  in the middle of Fig. 4). The downdraft  
 492 branch of the circulation, which lies one quarter wavelength downstream,  
 493 amplifies the phase-locked horizontal divergence  $\hat{D}(r, t) > 0$  at the radius  
 494  $\tilde{r} > 1$  on  $z = 0$  (HD in the bottom of Fig. 4). Also on the uppermost level  
 495  $z = H$ , the similar reasoning is applied.

#### 496 4. Numerical calculation

497 In this section, we numerically obtain a growing solution whose spatial  
 498 pattern is compatible with the conceptual model of the resonant VRW-GW  
 499 interaction proposed in Section 2. Although this section includes many  
 500 equations and their deformation and rearrangement, they themselves are  
 501 not important or essential. They are presented only for the purpose of  
 502 displaying figures in Fig. 7 which confirm the validity of the proposed con-  
 503 ceptual model.

504 For the assumed Rankine-vortex in Eqs. (1), the vorticity perturbation  
 505 whose radial dependence is expressed in terms of Dirac's delta function is

506 generated by the singular radial gradient of the basic vorticity in Eq. (3).  
 507 The singular dependence cannot be numerically represented. So, in order to  
 508 numerically obtain the solution of the nondimensional linearized equations  
 509 (16), we replace the discontinuous basic Rankine vortex in Eqs. (1) by the  
 510 following continuous Rankine-like vortex,

$$\begin{aligned}
 \bar{\zeta} &= Z \quad \text{for } 0 < r < R - \varepsilon, \\
 \bar{\zeta} &= \frac{Z(R + \varepsilon)}{2\varepsilon} - \frac{Z}{2\varepsilon}r \quad \text{for } R - \varepsilon < r < R + \varepsilon, \\
 \bar{\zeta} &= 0 \quad \text{for } R + \varepsilon < r < \infty,
 \end{aligned} \tag{37}$$

511 where we assume  $\varepsilon/R \approx 1/10$ . Instead of Eqs. (2), the basic angular velocity  
 512  $\bar{\omega} = \bar{\omega}(r)$  is given by

$$\begin{aligned}
 \bar{\omega} &= \frac{Z}{2} \quad \text{for } 0 < r < R - \varepsilon, \\
 \bar{\omega} &= -\frac{Z}{6\varepsilon}r + \frac{Z(R + \varepsilon)}{4\varepsilon} - \frac{Z(R - \varepsilon)^3}{12\varepsilon} \frac{1}{r^2} \quad \text{for } R - \varepsilon < r < R + \varepsilon, \\
 \bar{\omega} &= \frac{Z(3R^2 + \varepsilon^2)}{6} \frac{1}{r^2} \quad \text{for } R + \varepsilon < r < \infty.
 \end{aligned}$$

513 The nondimensional linearized equations (16) are unchanged except that  
 514 the nondimensional basic variables  $\bar{\omega}$ ,  $\bar{\xi}$ , and  $\bar{\zeta}^a$  in Eqs. (17) are respectively  
 515 replaced by

$$\begin{aligned}
 \bar{\omega} &= \frac{1}{2} \quad \text{for } 0 < r < 1 - \tilde{\varepsilon}, \\
 \bar{\omega} &= -\frac{1}{6\tilde{\varepsilon}}r + \frac{1 + \tilde{\varepsilon}}{4\tilde{\varepsilon}} - \frac{(1 - \tilde{\varepsilon})^3}{12\tilde{\varepsilon}} \frac{1}{r^2} \quad \text{for } 1 - \tilde{\varepsilon} < r < 1 + \tilde{\varepsilon}, \\
 \bar{\omega} &= \frac{3 + \tilde{\varepsilon}^2}{6} \frac{1}{r^2} \quad \text{for } 1 + \tilde{\varepsilon} < r < \infty,
 \end{aligned}$$

$$\begin{aligned}
\bar{\xi} &= 1 + \frac{f}{Z} \quad \text{for } 0 < r < 1 - \tilde{\varepsilon}, \\
\bar{\xi} &= -\frac{1}{3\tilde{\varepsilon}}r + \frac{1 + \tilde{\varepsilon}}{2\tilde{\varepsilon}} - \frac{(1 - \tilde{\varepsilon})^3}{6\tilde{\varepsilon}} \frac{1}{r^2} + \frac{f}{Z} \quad \text{for } 1 - \tilde{\varepsilon} < r < 1 + \tilde{\varepsilon}, \\
\bar{\xi} &= \frac{3 + \tilde{\varepsilon}^2}{3} \frac{1}{r^2} + \frac{f}{Z} \quad \text{for } 1 + \tilde{\varepsilon} < r < \infty, \\
\bar{\zeta}^a &= 1 + \frac{f}{Z} \quad \text{for } 0 < r < 1 - \tilde{\varepsilon}, \\
\bar{\zeta}^a &= \frac{1 + \tilde{\varepsilon}}{2\tilde{\varepsilon}} - \frac{1}{2\tilde{\varepsilon}}r + \frac{f}{Z} \quad \text{for } 1 - \tilde{\varepsilon} < r < 1 + \tilde{\varepsilon}, \\
\bar{\zeta}^a &= \frac{f}{Z} \quad \text{for } 1 + \tilde{\varepsilon} < r < \infty,
\end{aligned} \tag{38}$$

517 where  $\tilde{\varepsilon} = \varepsilon/R$ .

518 The nondimensional linearized equations (16) with Eqs. (38) is numerically  
519 ically solved with the discretization in the radial direction,  $0 = r_0 < r_1 <$   
520  $r_2 < \dots < r_N < r_{N+1} < \infty$ . The variables are radially discretized as

$$\hat{a}(r_0, t) = \hat{a}_0(t), \hat{a}(r_1, t) = \hat{a}_1(t), \dots, \hat{a}(r_N, t) = \hat{a}_N(t), \hat{a}(r_{N+1}, t) = \hat{a}_{N+1}(t), \tag{39}$$

521 where  $a = u, v, \text{ or } \phi$ . The derivatives are so discretized that

$$\left[ \frac{\partial \hat{a}}{\partial r} \right]_{r=r_n} = \frac{\hat{a}_{n+1} - \hat{a}_{n-1}}{r_{n+1} - r_{n-1}} \quad \text{for } 1 \leq n \leq N \quad \text{with } \hat{a}_0 = \hat{a}_{N+1} = 0, \tag{40}$$

522 where  $a = u \text{ or } \phi$ . We set  $N = 100$  and discretize the radial direction so that  
523 the area of each annulus  $r_{n-1} < r < r_n$  ( $n = 1, 2, \dots, N + 1$ ) is equal to one  
524 another. Specifically, we set  $r_n = \sqrt{n/20}$  ( $n = 0, 1, 2, \dots, N, N + 1$ ) and  
525  $r_{20} = 1$  is the nondimensionalized Rankine radius. Substituting Eqs. (39)

526 and (40) into Eqs. (16) gives

$$\begin{aligned}\frac{\partial \hat{u}_n}{\partial t} &= -im\bar{\omega}_n \hat{u}_n + \bar{\xi}_n \hat{v}_n - \frac{\hat{\phi}_{n+1} - \hat{\phi}_{n-1}}{r_{n+1} - r_{n-1}}, \\ \frac{\partial \hat{v}_n}{\partial t} &= -im\bar{\omega}_n \hat{v}_n - \bar{\zeta}_n^a \hat{u}_n - \frac{im}{r_n} \hat{\phi}_n, \\ \frac{\partial \hat{\phi}_n}{\partial t} &= -im\bar{\omega}_n \hat{\phi}_n - \gamma \left\{ \frac{\hat{u}_n}{r_n} + \frac{\hat{u}_{n+1} - \hat{u}_{n-1}}{r_{n+1} - r_{n-1}} + \frac{im}{r_n} \hat{v}_n \right\} \quad \text{for } 1 \leq n \leq N,\end{aligned}$$

527 where  $\bar{\omega}_n = \bar{\omega}(r_n)$  etc. These can be rewritten in the following vector form,

$$\frac{\partial}{\partial t} |\hat{U}(t)\rangle = A|U(t)\rangle, \quad (41)$$

528 where  $|\hat{U}(t)\rangle$  is a  $3N$  column vector and  $A$  is a  $3N \times 3N$  matrix.

$$|\hat{U}(t)\rangle = \begin{bmatrix} \hat{u}_1(t) \\ \hat{v}_1(t) \\ \hat{\phi}_1(t) \\ \cdot \\ \cdot \\ \hat{u}_N(t) \\ \hat{v}_N(t) \\ \hat{\phi}_N(t) \end{bmatrix} \quad \text{and} \quad A = \begin{bmatrix} B_1 & -C_1 & 0 & 0 & 0 & \cdots & 0 \\ C_2 & B_2 & -C_2 & 0 & 0 & \cdots & 0 \\ 0 & C_3 & B_3 & -C_3 & 0 & \cdots & 0 \\ & & \cdots & & & & \\ & & & \cdots & & & \\ & & & & \cdots & & \\ 0 & \cdots & 0 & C_{N-2} & B_{N-2} & -C_{N-2} & 0 \\ 0 & \cdots & 0 & 0 & C_{N-1} & B_{N-1} & -C_{N-1} \\ 0 & \cdots & 0 & 0 & 0 & C_N & B_N \end{bmatrix} \quad (42)$$

529 where

$$B_n = \begin{bmatrix} -im\bar{\omega}_n & \bar{\xi}_n & 0 \\ -\bar{\zeta}_n^a & -im\bar{\omega}_n & -im/r_n \\ -\gamma/r_n & -i\gamma m/r_n & -im\bar{\omega}_n \end{bmatrix} \quad \text{and} \quad C_n = \begin{bmatrix} 0 & 0 & 1/(r_{n+1} - r_{n-1}) \\ 0 & 0 & 0 \\ \gamma/(r_{n+1} - r_{n-1}) & 0 & 0 \end{bmatrix}.$$

530 The solution to Eq. (41) with an initial values  $|\hat{U}(0)\rangle$  is given by

$$|\hat{U}(t)\rangle = \sum_{n=1}^{3N} e^{\lambda_n t} \frac{|R_n\rangle\langle L_n|}{\langle L_n|R_n\rangle} |\hat{U}(0)\rangle, \quad (43)$$

531 where  $\lambda_n$  ( $n = 1, 2, \dots, 3N$ ) are the eigenvalues of the matrix  $A$  in Eqs. (42),  
532 and  $|R_n\rangle$  and  $\langle L_n|$  are the corresponding right and left eigenvectors, respec-  
533 tively. The right and left eigenvectors are  $3N$  column and  $3N$  row vectors,  
534 respectively. The dyadic product  $|R_n\rangle\langle L_n|$  in the numerator on the RHS of  
535 Eq. (43) is a  $3N \times 3N$  matrix, and the inner product  $\langle L_n|R_n\rangle$  in the denomi-  
536 nator is a scalar. By the definition of the eigenvalues and right eigenvectors  
537  $A|R_n\rangle = \lambda_n|R_n\rangle$  ( $n = 1, 2, \dots, 3N$ ), and by the completeness relation of  
538 the right and left eigenvectors  $\sum_{n=1}^{3N} |R_n\rangle\langle L_n|/\langle L_n|R_n\rangle = I_{3N}$  (which is an  
539 identity matrix), we can easily see that the expression in Eq. (43) is indeed  
540 the solution to Eq. (41) with the prescribed initial value  $|\hat{U}(0)\rangle$ .

$$\begin{aligned} \frac{\partial}{\partial t} \sum_{n=1}^{3N} e^{\lambda_n t} \frac{|R_n\rangle\langle L_n|}{\langle L_n|R_n\rangle} |\hat{U}(0)\rangle &= \sum_{n=1}^{3N} e^{\lambda_n t} \lambda_n \frac{|R_n\rangle\langle L_n|}{\langle L_n|R_n\rangle} |\hat{U}(0)\rangle = A \sum_{n=1}^{3N} e^{\lambda_n t} \frac{|R_n\rangle\langle L_n|}{\langle L_n|R_n\rangle} |\hat{U}(0)\rangle, \\ \left[ \sum_{n=1}^{3N} e^{\lambda_n t} \frac{|R_n\rangle\langle L_n|}{\langle L_n|R_n\rangle} |\hat{U}(0)\rangle \right]_{t=0} &= \sum_{n=1}^{3N} \frac{|R_n\rangle\langle L_n|}{\langle L_n|R_n\rangle} |\hat{U}(0)\rangle = |\hat{U}(0)\rangle. \end{aligned}$$

541 The solution to Eq. (41) with an initial value  $|\hat{U}(0)\rangle$  is also written as

$$|\hat{U}(t)\rangle = e^{At}|\hat{U}(0)\rangle = \sum_{n=0}^{\infty} \frac{t^n}{n!} A^n |\hat{U}(0)\rangle. \quad (44)$$

542 We can also easily see that the expression in Eq. (44) is indeed the solution  
543 to Eq. (41) with the prescribed initial value  $|\hat{U}(0)\rangle$ .

$$\begin{aligned} \frac{\partial}{\partial t} \sum_{n=0}^{\infty} \frac{t^n}{n!} A^n |\hat{U}(0)\rangle &= \sum_{n=1}^{\infty} \frac{t^{n-1}}{(n-1)!} A^n |\hat{U}(0)\rangle = A \sum_{n=0}^{\infty} \frac{t^n}{n!} A^n |\hat{U}(0)\rangle, \\ \left[ \sum_{n=0}^{\infty} \frac{t^n}{n!} A^n |\hat{U}(0)\rangle \right]_{t=0} &= \sum_{n=0}^{\infty} \frac{0^n}{n!} A^n |\hat{U}(0)\rangle = |\hat{U}(0)\rangle. \end{aligned}$$

544 The equivalence of the expressions Eqs. (43) and (44) is easily checked by  
545 the use of the spectral decomposition of  $A$  and the orthogonality of  $\langle L_n|$   
546 and  $|R_n\rangle$ ,

$$A = \sum_{n=1}^{3N} \lambda_n \frac{|R_n\rangle\langle L_n|}{\langle L_n|R_n\rangle} \quad \text{and} \quad \langle L_n|R_m\rangle = 0 \quad \text{if} \quad n \neq m.$$

547 For growing solutions to exist, there must exist at least one eigenvalue with  
548 positive real part.

549 Let  $\lambda_M$  be the eigenvalue with the largest positive real part, and  $|R_M\rangle$   
550 and  $\langle L_M|$  be the corresponding right and left eigenvectors, respectively.

551 Then, as  $t \rightarrow \infty$ , the term of  $\lambda_M$  in Eq. (43) becomes dominant,

$$\lim_{t \rightarrow \infty} |\hat{U}(t)\rangle \sim e^{\lambda_M t} \frac{|R_M\rangle\langle L_M|}{\langle L_M|R_M\rangle} |\hat{U}(0)\rangle = e^{\lambda_M t} \frac{\langle L_M|\hat{U}(0)\rangle}{\langle L_M|R_M\rangle} |R_M\rangle \propto e^{\lambda_M t} |R_M\rangle.$$

552 The spatial structure and temporal evolution of the growing disturbance  
553 is determined by  $e^{\lambda_M t} |R_M\rangle$ . Since the disturbance in the physical space is

554 given by Eqs. (8), the structure, the growth rate, and angular phase velocity  
 555 of the growing eigen-disturbance are respectively given by,

$$\text{the structure} = \text{Re} [e^{im\theta} |R_M\rangle] \cos \pi z \quad (\text{after nondimensionalization } z \rightarrow Hz),$$
(45)

$$\text{the growth rate} = \text{Re} [\lambda_M],$$
(46)

$$\text{the angular phase velocity} = -\frac{1}{m} \text{Im} [\lambda_M].$$
(47)

556 The eigenvalue  $\lambda_M$  and right eigenvector  $|R_M\rangle$  are numerically calcu-  
 557 lated. In the calculation, in order to suppress reflection, the variables are  
 558 forced to linearly decrease to zero near the lateral boundary. The structure  
 559 of the growing eigen-disturbance given by Eq. (45) with azimuthal wave  
 560 number  $m = 2$  is shown in Fig. 7, which is so displayed as to correspond  
 561 to Fig. 6 of the conceptual model in subsection 2.4. The value of the first  
 562 parameter  $f/Z$  is so set  $f/Z = 0.02$  as stated at the end of subsection 3.1.  
 563 The value of the second parameter  $\gamma$  is so set  $\gamma = 0.006$  that the growth rate  
 564 (i.e., the value of  $\text{Re}[\lambda_M]$ ) becomes maximum in the range of  $0 < \gamma < 0.02$   
 565 for the fixed  $f/Z = 0.02$ .

Fig. 7

566 In Fig. 7, the disturbance buoyancy  $b = \partial\phi/\partial z$  is shown instead of  
 567  $\phi$ . The disturbance  $\hat{b}$  in the mode assumed in Eqs. (8) is related to  $\hat{\phi}$  as  
 568  $\hat{b} = -\hat{\phi}$  after the nondimensionalization in Eqs. (14), and  $z \rightarrow Hz$  and  
 569  $\hat{b} \rightarrow [\hat{b}]\hat{b}$  with  $[\hat{b}] = (\pi/H)[\hat{\phi}]$ . Further, in order to display the VRW, the

570 disturbance potential vorticity  $q$  is shown instead of the disturbance vertical  
571 vorticity  $\zeta$ . The disturbance  $\hat{q}$  in the mode assumed in Eqs. (8) is related  
572 to  $\hat{\zeta}$  as  $\hat{q} = \gamma\hat{\zeta} - \bar{\zeta}^a\hat{\phi}$  after the nondimensionalization in Eqs. (14) and (38).  
573 The reason of the preference for  $q$  than  $\zeta$  is that another  $\zeta$  perturbation  
574 associated with the GW is also present away from the Rankine radius in  
575 addition to the  $\zeta$  perturbation associated with the VRW at (and near) the  
576 Rankine radius. From Eq. (29), the GW is necessarily accompanied with  
577 the vertical vorticity perturbation.

578 The disturbance potential vorticity  $q$  in (a) and (c) of Fig. 7, and the  
579 outer horizontal divergence  $HD_{\text{out}}$  in (a) and (c), and outer buoyancy  $b_{\text{out}}$  in  
580 (b), which are located outside of the Rankine radius, are so structured as to  
581 be compatible with the conceptual model of VRW-GW interaction depicted  
582 in Figs. 4, 5, and 6. That is,  $q$  and  $HD_{\text{out}}$  on  $z = 0, 1$  are phase-locked with  
583 a phase difference  $\pi$ , and  $HD_{\text{out}}$  on  $z = 0(z = 1)$  is located one quarter  
584 wave length downstream (upstream) of  $b_{\text{out}}$  on  $z = 1/2$ .

585 In addition to the outer perturbations  $HD_{\text{out}}$  and  $b_{\text{out}}$ , there exist also  
586 other inner perturbations  $HD_{\text{in}}$  and  $b_{\text{in}}$  in Fig. 7, which are located in the  
587 vicinity of the Rankine radius. From Eq. (32), the exponential growth of  $\zeta$   
588 at the Rankine radius (i.e., the growth of VRW) is necessarily accompanied  
589 with the exponential growth of  $\phi_{\text{in}}$  there, and so the exponential growth  
590 of  $b_{\text{in}} = \partial\phi_{\text{in}}/\partial z$  there. For  $b_{\text{in}}$  to be part of the form-preserving eigen-



591 disturbance, there must exist also  $HD_{\text{in}}$  to form an azimuthally propagating  
592  $GW_{\text{in}}$ . The  $GW_{\text{in}}$  propagates anticyclonically and is strongly advected cy-  
593 clonically by the basic vortex so that it becomes part of the form-preserving  
594 eigen-disturbance slowly moving cyclonically. Indeed, the anticyclonic prop-  
595 agation of the  $GW_{\text{in}}$  is seen in Fig. 7. That is,  $HD_{\text{in}}$  on  $z = 0$  ( $z = 1$ ) lies  
596 one quarter wave length upstream (downstream) of  $b_{\text{in}}$  on  $z = 1/2$ .

597 The numerically calculated growth rate in Eq. (46) and the angular phase  
598 velocity in Eq. (47) of the growing eigen-disturbance are  $\text{Re}[\lambda_M] \approx 0.05$  and  
599  $-(1/2)\text{Im}[\lambda_M] \approx 0.34$ , respectively. The growth rate is small compared  
600 with the growth rate and angular phase velocity of the growing disturbance  
601 due to the VRW-VRW interaction which are  $O(1)$  or  $O(Z)$   $\text{s}^{-1}$  in the di-  
602 mensional units.

## 603 5. Concluding remarks

604 In this paper, we proposed a simple conceptual model of the resonant  
605 interaction between the VRW and GW on a typhoon-like basic vortex. Fur-  
606 ther, we analytically showed the possibility of the interaction, and numeri-  
607 cally obtained the growing solution in the system linearized about the basic  
608 vortex.

609 In order to make the problem simplest and to grasp the essential mech-  
610 anism, although the reality is rather complicated and intricate, the basic

611 vortex was assumed to be a stably stratified barotropic Rankine vortex,  
612 and the disturbance on the vortex was assumed to be of the first baroclinic  
613 vertical mode and of the azimuthal wave number  $m \neq 0$  mode.

614 The central VRW, which is located at the jump radius of the Rankine  
615 vortex, moves cyclonically because of the strong cyclonic advection by the  
616 basic vortex flow and weak anticyclonic propagation (“propagation” means  
617 “propagation relative to the fluid”) due to the radial inward gradient of  
618 the basic vertical vorticity. The outer GW, which is assumed to be located  
619 outside of the jump radius of the Rankine vortex, moves also cyclonically  
620 because of the weak cyclonic advection by the basic vortex flow and cy-  
621 clonic propagation due to the stable stratification. The VRW and GW are  
622 counter-propagating to each other, and therefore satisfy Rayleigh’s condi-  
623 tion for instability. Further, both of them move cyclonically, and therefore  
624 may satisfy Fjørtoft’s condition for instability, that is, may be phase-locked  
625 with each other. If the counter-propagating VRW and GW become phase-  
626 locked, they resonantly interact with each other and grow. We assumed the  
627 existence of such an outer GW that can be phase-locked with the central  
628 VRW, and we considered the resonant interaction between them based on  
629 the BV-thinking.

630 As is already known, the resonant interaction between RWs (or vortic-  
631 ity waves in general) and GWs (i.e., buoyancy waves) in a vertical-zonal

632 system is conceptually clearly grasped based on the BV-thinking (e.g., Car-  
633 penter et al. 2011). The RW in the 2-dimensional system is a horizontal  
634 vorticity wave and is accompanied with vertical circulation. The GW in  
635 the 2-dimensional system is a buoyancy wave and is also accompanied with  
636 vertical circulation. In the resonant interaction between them, the vertical  
637 circulation of RW amplifies the GW, and simultaneously the vertical cir-  
638 culation of GW amplifies the RW, resulting in the resonant growth. On the  
639 other hand, the VRW and GW of the present problem interact with each  
640 other in a 3-dimensional system. As in the vertical-zonal 2-dimensional  
641 problem, the GW is a buoyancy wave, and is accompanied with vertical cir-  
642 culation. However, different from the vertical-zonal 2-dimensional problem,  
643 the VRW in the 3-dimensional system is a vertical vorticity wave, and is  
644 accompanied with horizontal circulation. Although the 3-dimensional res-  
645 onant interaction including vertical and horizontal circulations cannot be  
646 understood as a straightforward extension of the 2-dimensional resonant  
647 interaction including only vertical circulations, it can be also conceptually  
648 grasped based on the BV-thinking as presented in Section 2. That is, the  
649 horizontal circulation of VRW amplifies the GW, and simultaneously the  
650 vertical circulation of GW amplifies the VRW. Specifically, in the proposed  
651 conceptual model presented in Section 2, the central VRW, whose ampli-  
652 tude is maximum on the lower and upper levels, is expressed in terms of

653 the disturbance vertical vorticity  $\zeta$ . The horizontal circulation around  $\zeta$   
654 advects the basic vertical vorticity  $\bar{\zeta}$  and generates new  $\zeta$ . The successive  
655 generation of  $\zeta$  makes the VRW propagate anticyclonically. The outer GW,  
656 whose amplitude is maximum on the middle level, is expressed in terms of  
657 the disturbance radial vorticity  $\eta$  and the disturbance buoyancy  $b$ . The ver-  
658 tical circulation around  $\eta$  advects the basic buoyancy  $\bar{b}$  (that is, the basic  
659 potential temperature) and generates new  $b$ . At the same time, the az-  
660 imuthal gradient of  $b$  generates new  $\eta$ . The successive mutual generation  
661 of  $\eta$  and  $b$  makes the GW propagate cyclonically. On the assumption of  
662 phase-lock, the horizontal circulation around  $\zeta$  induces vertical circulation  
663 in the outer region which advects  $\bar{b}$  and enhances  $b$ . At the same time, the  
664 vertical circulation around  $\eta$  induces horizontal circulation in the central  
665 region which advects  $\bar{\zeta}$  and enhances  $\zeta$ . As a result, the VRW and GW  
666 resonantly grow.

667 We analytically examined the system of equations linearized about the  
668 basic vortex, and showed the possibility of the resonant interaction. The  
669 VRW in the central region and the GW in the outer region may reinforce  
670 each other. Further, we numerically obtained the growing solution of the  
671 linearized system. The growing solution shows the resonant interaction  
672 structure proposed in the conceptual model, although the growth rate is  
673 rather small.

674 Because of the smallness of the growth rate, the VRW-GW interaction  
675 does not come into question in the presence of the VRW-VRW interaction.  
676 For a typhoon-like vortex with an annulus of high vertical vorticity corre-  
677 sponding to the eyewall and/or with an annulus of low vertical vorticity  
678 in the outer region, Rayleigh's condition for instability of the VRW-VRW  
679 interaction is satisfied, and VRWs grow by the interaction. The growing  
680 VRWs near the eyewall are supposed to be related with the eye deforma-  
681 tion and the track meandering. While, those near the outer annulus of low  
682 vertical vorticity are supposed to be related with the eye replacement cy-  
683 cle. In these cases, the VRW-GW interaction, which may exist, has little  
684 contribution to the growth of VRWs. On the other hand, in the case of a  
685 monopolar vortex, the radial gradient of the basic vertical vorticity is ev-  
686 erywhere negative, and therefore Rayleigh's condition for instability of the  
687 VRW-VRW interaction is not satisfied. As a result, VRWs cannot grow by  
688 the interaction. However, VRWs can still grow by the VRW-GW interaction  
689 even for a monopolar vortex. The growing VRWs by VRW-GW interaction  
690 may play the role of those by VRW-VRW interaction, instead.

691 The growing eigen-disturbance in our numerical calculation has an inner  
692 GW in addition to the outer GW which resonantly interacts with the central  
693 VRW. Although the inner GW propagates anticyclonically, it is advected  
694 cyclonically by the strong basic angular velocity, and moves cyclonically.

695 Because of the cyclonic movement, the inner GW is phase-locked with the  
696 outer GW which moves also cyclonically, and the two GWs constitute the  
697 form-preserving eigen-disturbance. The inner and outer GWs propagate an-  
698 ticyclonically and cyclonically, respectively, that is, are counter-propagating  
699 to each other, and therefore they satisfy Rayleigh's condition for instability.  
700 The two GWs satisfying Rayleigh's condition are phase-locked with each  
701 other. Hence, the interaction between them (GW-GW interaction) may  
702 contribute to the growth of the eigen-disturbance. If so, what growing  
703 mechanism the GW-GW interaction has, and what relation the GW-GW  
704 interaction has to the VRW-GW interaction? To examine these, it belongs  
705 to our future study.

706 The inner GW of the numerically calculated growing eigen-disturbance  
707 is collocated and comoving with the VRW in the vicinity of the Rankine  
708 radius. The pair of VRW and GW is reminiscent of the mixed vortex  
709 Rossby-gravity wave of Zhong et al. (2009). To examine whether they have  
710 any relation or not, it belongs also to our future study.

## 711 **References**

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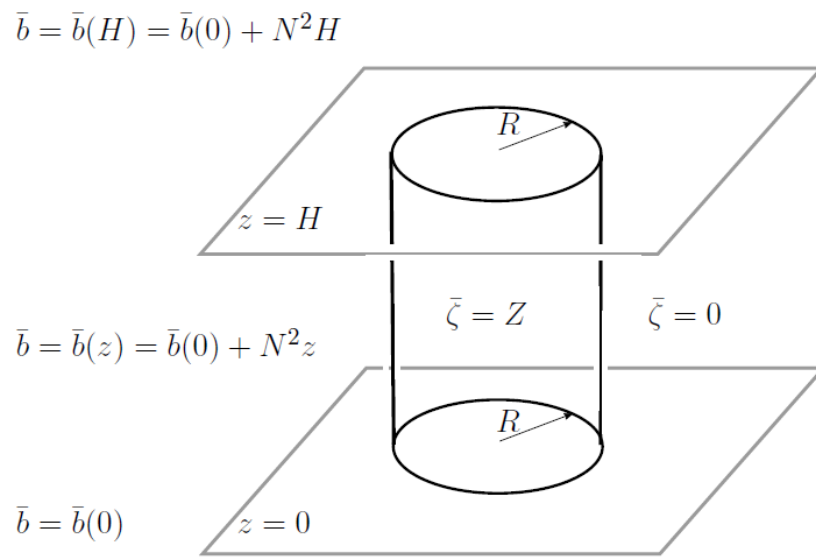


Fig. 1. Stably stratified barotropic Rankine vortex.  $\bar{\zeta}$  is the basic vertical vorticity.  $\bar{b}$  is the basic buoyancy.

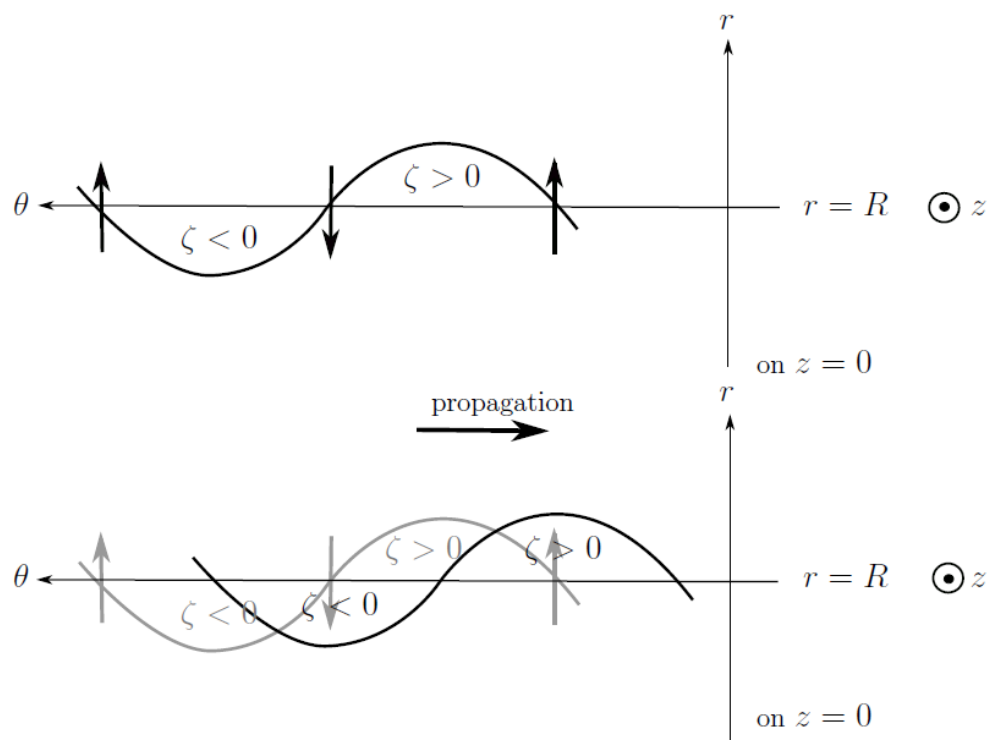


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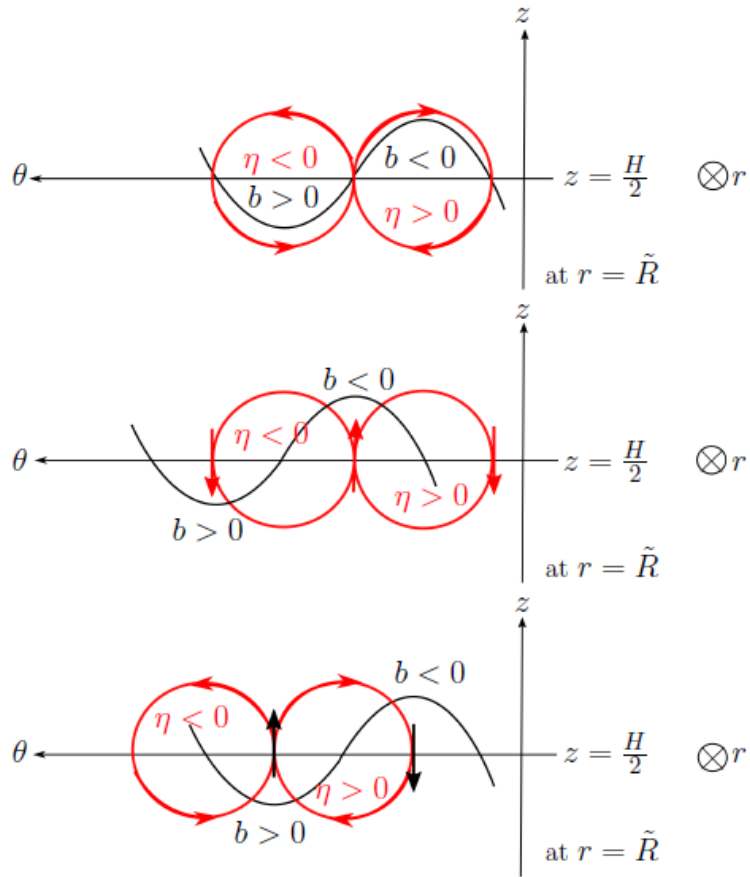


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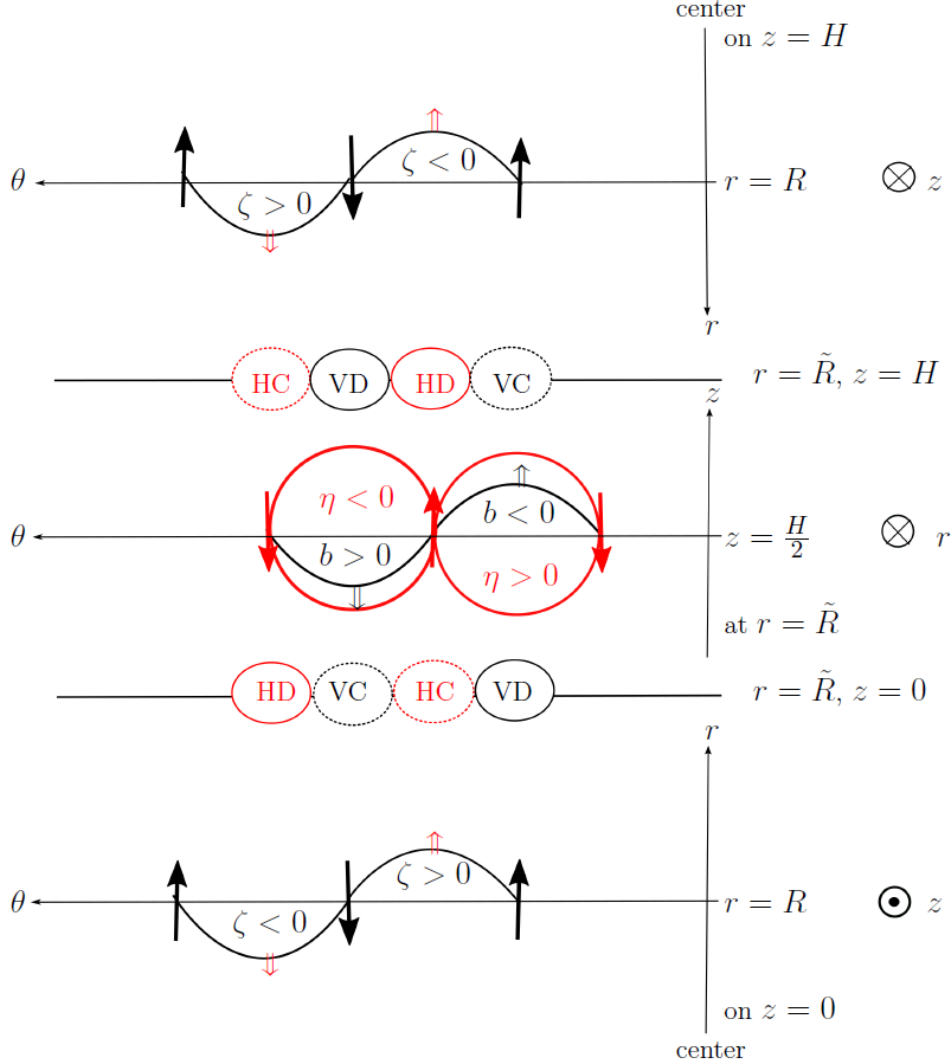


Fig. 4. Interaction between VRW at  $r = R$  and GW at  $r = \tilde{R} (> R)$ . The black curves in the top and bottom are the Iso- $(\bar{\zeta} + \zeta)$  lines. The black curve in the middle is the Iso- $(\bar{b} + b)$  line. The red circles with arrows in the middle represent the radial vorticity perturbations  $\eta$ . The black arrows  $\uparrow\downarrow\uparrow$  in the top and bottom represent the horizontal circulations induced by the vertical vorticity perturbations  $\zeta$ . The red arrows  $\downarrow\uparrow\downarrow$  in the middle represent the vertical circulations induced by  $\eta$ . The black circles with VD and VC respectively represent the vertical divergence and convergence generated by the horizontal circulations. The red circles with HD and HC respectively represent the horizontal divergence and convergence generated by the vertical circulations. The red short uparrows  $\uparrow$  and downarrows  $\downarrow$  represent the amplification of VRW by GW. The black short uparrow  $\uparrow$  and downarrow  $\downarrow$  represent the amplification of GW by VRW.



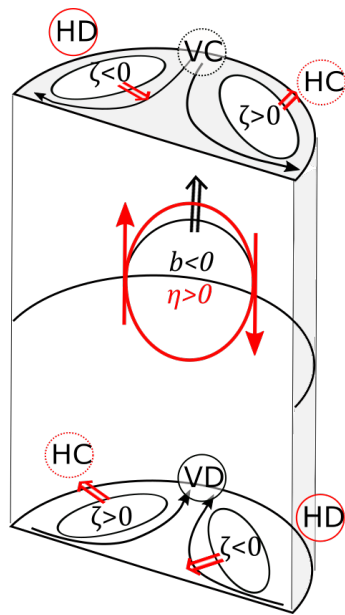


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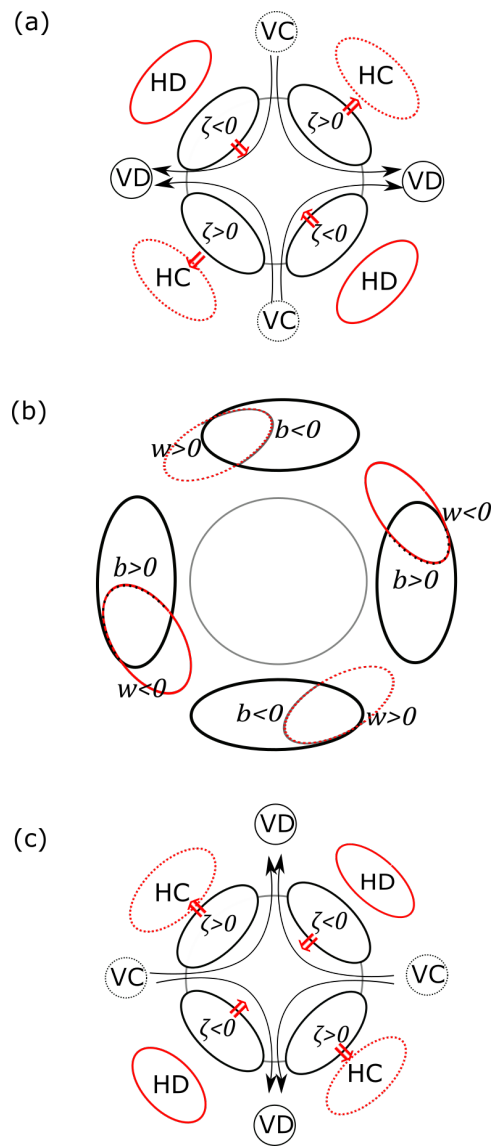


Fig. 6. Plan views of Fig 4 on  $z = 1$ (a),  $z = 1/2$ (b), and  $z = 0$ (c).

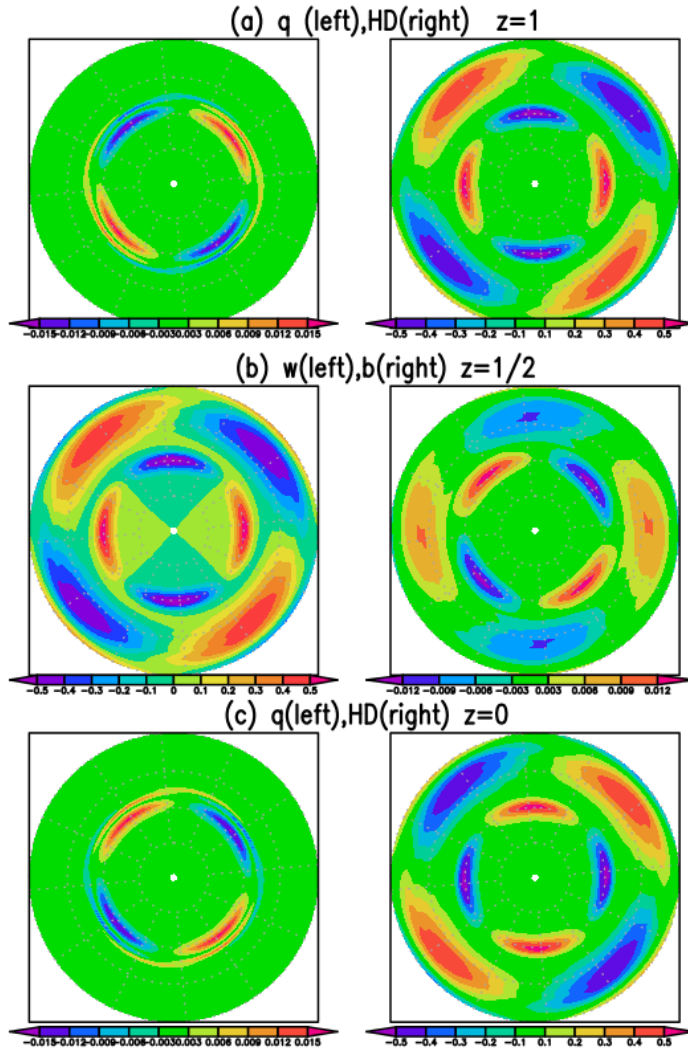


Fig. 7. Structure of growing eigen-disturbance corresponding to the eigenvalue  $\lambda_M$  with the largest real part. The parameter values are set  $f/Z = 0.02$ ,  $\gamma = 0.006$ , and  $m = 2$ . Only the inside of twice the Rankine radius is shown. (a) The disturbance potential vorticity  $q$  (left) and disturbance horizontal divergence  $HD$  (right) on  $z = 1$ . (b) The disturbance vertical velocity  $w$  (left) and buoyancy  $b$  (right) on  $z = 1/2$ . (c) The disturbance potential vorticity  $q$  (left) and disturbance horizontal divergence  $HD$  (right) on  $z = 0$ .