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2 **Thermal Land Surface Emissivity for Retrieving Land**

3 **Surface Temperature from Himawari-8**

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## Abstract

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The land surface emissivity (LSE) in the thermal infrared (TIR) is an essential parameter in the retrieving the land surface temperature (LST) from space. This paper describes the LSE maps in TIR three bands (centered at 10.4, 11.2 and 12.4  $\mu\text{m}$ ) used for retrieving the LST from Himawari-8. Himawari-8, a next-generation geostationary satellite has high spatial and temporal resolutions compared to previous geostationary satellites. Due to these improvements, it is expected that the Himawari-8 LST product contribute to observe small-scale environments in high-frequency. In this study, the LSE is estimated by a semi-empirical method, which is a combination of the classification based method and the normalized difference vegetation index (NDVI) thresholds method. The land cover classification information is taken from the Global Land Cover by National Mapping Organizations version3 (GLCNMO 2013). Material emissivities of soil, vegetation and others are taken from the MODIS UCSB emissivity library and the ASTER spectral library. This method is basically following to Peres and DaCamara (2005) but advanced considerations are added. They are phenology of vegetation, flooding of paddy field, snow/ice coverage and the internal reflections (cavity effect) in the urban area. The average cavity effect on LSE in urban canopy is approximately 0.01, but it reaches 0.02 in built-up area. The sensitivity analysis shows that the total LSE

48 errors for three bands are less than 0.02. The LSE estimation is especially stable at the  
49 vegetation area, where the error is less than 0.01.

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51 **Keywords** land surface emissivity (LSE); Himawari-8; Advanced Himawari Imager  
52 (AHI); Land surface temperature (LST); Thermal infrared (TIR); semi-empirical method

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## 54 **1. Introduction**

55 The land surface emissivity (LSE) in the thermal infrared (TIR) is important for the  
56 estimation of the land surface temperature (LST) and surface energy budgets. The LST  
57 and surface energy budgets are useful for the studies of environmental issues, such as  
58 desertification and urban heat islands (Sobrino and Raissouni, 2000; Weng, 2009). The  
59 LSE varies depending on various factors, such as the vegetation or soil type, surface  
60 roughness and water content. The seasonal change of vegetation also affects the LSE.  
61 Even a small LSE estimation error affects the final LST retrieval (Li et al., 2013a). Therefore,  
62 it is necessary to consider the various factors affecting the LSE as much as possible. The  
63 purpose of this study is to develop the LSE maps in TIR bands used for retrieving the LST  
64 from Himawari-8. Himawari-8, the successor to MTSAT-2, was launched in October 2014  
65 and started operational observations in July 2015. This geostationary satellite locates  
66 above the equator at longitude  $140.7^{\circ}$  E and is flying at an altitude of 35786 km. The  
67 Advanced Himawari Imager (AHI) onboard Himawari-8 contains three visible bands (band 1,  
68 2 and 3 centered at 0.47, 0.51, and 0.64  $\mu\text{m}$ ) and thirteen infrared bands (band 4–16  
69 centered at 0.86, 1.61, 2.25, 3.9, 6.2, 6.9, 7.3, 8.6, 9.6, 10.4, 11.2, 12.4, and 13.3  $\mu\text{m}$ ). The  
70 spatial resolutions of the visible/near-infrared bands (band 1–4) are 0.5–1.0 km and the  
71 infrared bands (band 5–16) are 2.0 km. The observation cycle of the AHI is 2.5 minutes in  
72 the area of Japan and 10 minutes for the full disk. The spatial and temporal resolution are

73 both improved compared to the MTSAT-2. Due to these improvements, the Himawari-8  
74 LST product is expected to contribute to observe small-scale features in high-frequency  
75 compared to GMS and MTSAT series. The spectral range 10–12.5  $\mu\text{m}$  in the window  
76 thermal infrared is suitable for retrieving land surface temperature using single or  
77 multi-channel algorithm (Li et al., 2013a). The AHI has three TIR bands, bands 13, 14 and  
78 15 (centered at 10.4, 11.2 and 12.4 $\mu\text{m}$ ) in the spectral range of 10–12.5  $\mu\text{m}$ . Therefore, we  
79 develop the LSE maps for bands 13, 14 and 15.

80 Various LSE estimation methods have been proposed for various sensors, which are  
81 generally divided into three types (Li et al., 2013b); semi-empirical methods (Snyder et al.,  
82 1998; Peres and DaCamara, 2005; Trigo et al., 2008; Sobrino et al., 2008), multi-channel  
83 temperature emissivity separation methods (Sobrino et al., 2001; Peres and DaCamara,  
84 2004), and physically based methods (Petitcolin, and Zhang, 2000). Each method has its  
85 own advantages and limitations. We employ a semi-empirical method, which is a  
86 combination of the classification based method and the normalized difference vegetation  
87 index (NDVI) thresholds method. This method is basically following to Peres and  
88 DaCamara (2005). The classification based method assigns the predetermined LSE value  
89 to each pixel based on the use of a land-cover classification information. The NDVI  
90 thresholds method estimates the LSE by considering the surface component as being a  
91 mixture of bare soil and vegetation. The visible and near-infrared bands of AHI, bands 3, 4

92 and 5 (centered at 0.64, 0.86 and 1.61  $\mu\text{m}$ ), enable the estimation of the fraction of  
93 vegetation, so that it becomes possible to apply the method proposed by Peres and  
94 DaCamara (2005). Figure 1 shows the flowchart of our LSE estimation method. Peres and  
95 DaCamara (2005) has used the NDVI thresholds method considering the internal  
96 reflections (cavity effect) due to the vegetation canopy, but we attempt to consider the  
97 cavity effect due to the urban canopy additionally. Furthermore, we consider the seasonal  
98 variation of vegetation and specific variation in the paddy field using Himawari-8 visible and  
99 near-infrared reflectances. Section 2 describes the NDVI thresholds method and the  
100 assignment method to each land cover type. Section 3 describes the predetermined LSE  
101 values of soil and vegetation used for the actual observation and the sensitivity analysis for  
102 the estimated LSE.

103

## 104 **2. Description of Method**

### 105 *2.1 NDVI thresholds method*

106 The NDVI thresholds method has been applied to various sensors (Sobrino and  
107 Raissouni, 2000; Sobrino et al., 2008; Valor and Caselles, 1996; Peres and DaCamara,  
108 2005). The method uses the vegetation coverage information in order to estimate the LSE.  
109 The LSE is estimated by;

$$LSE = \varepsilon_{v\lambda}FVC + \varepsilon_{g\lambda}(1 - FVC) + d\varepsilon_{\lambda} \quad (1)$$

110 where  $\varepsilon_v$  and  $\varepsilon_g$  are vegetation and ground emissivities, respectively. The subscript  $\lambda$   
111 denotes a center wavelength. The method assumes that a surface state of a pixel is  
112 composed of a soil and a vegetation. FVC is the fractional vegetation cover and  $d\varepsilon$  is a  
113 term representing the cavity effect due to the vegetation canopy (i.e.  $d\varepsilon = 0$  for flat  
114 surfaces). The non-vegetation area (i.e.  $FVC = 0$ ) is considered as the flat surface. The  
115 FVC is simply obtained from the NDVI and two different NDVI threshold values as (Sobrino  
116 and Raissouni, 2000; Sobrino et al., 2008);

$$FVC = \left( \frac{NDVI - NDVI_g}{NDVI_v - NDVI_g} \right)^2 \quad (2)$$

117 where  $NDVI_g$  and  $NDVI_v$  are the bare ground and vegetation NDVIs, respectively. Values of  
118  $NDVI_g = 0.2$  and  $NDVI_v = 0.5$  by Sobrino et al. (2008) to apply the method in global  
119 conditions are used in this study since they have been applied to various sensors, such as  
120 Advanced Very High Resolution Radiometer (AVHRR) onboard the National Oceanic  
121 Atmospheric Administration, Spinning Enhanced Visible and IR Imager (SEVIRI) onboard  
122 the Meteosat Second Generation, Moderate Resolution Imaging Spectrometer (MODIS)  
123 onboard the Terra and Aqua, Advanced Along Track Scanning Radiometer (AATSR)  
124 onboard the Environmental Satellite and Thematic Mapper (TM) onboard the LANDSAT-5.  
125 In order to estimate FVC in more detail, however, we should recalculate the  $NDVI_g$  and  
126  $NDVI_v$  values corresponding to observation sites. Of course, FVC is set to zero when NDVI  
127 is less than  $NDVI_g$  and unity when it is greater than  $NDVI_v$ . The NDVI is defined as;

$$NDVI = \frac{R_{0.8} - R_{0.6}}{R_{0.8} + R_{0.6}} \quad (3)$$

128 where  $R_{0.8}$  is the reflectance observed in the near-infrared band and  $R_{0.6}$  is the  
 129 reflectance observed in the visible (red) band. In the Himawari observation area, previous  
 130 studies used the polar-orbiting satellite data because GMS and MTSAT series did not have  
 131 the near-infrared band. The AHI/Himawari-8, however, has near-infrared band as the band  
 132 4. Hence, we used the band 3 and band 4 to estimate the NDVI. The NDVI data is the  
 133 maximum NDVI in past 14-day composites. Since NDVI depends on solar zenith angle,  
 134 band 3 and band 4 data within one hour before-and-after the time of culmination at each  
 135 pixel are used. An atmospheric correction is not applied since the NDVI thresholds method  
 136 does not basically require an accurate atmospheric correction for estimation of FVC and  
 137 NDVI (Sobrino et al., 2008; Li et al., 2013b).

138 The cavity effect term  $d\varepsilon$  in Eq. (1) is estimated as;

$$d\varepsilon = (1 - \varepsilon_g)\varepsilon_v F'(1 - FVC) + [(1 - \varepsilon_v)\varepsilon_g G' + (1 - \varepsilon_v)\varepsilon_v F'']P_s. \quad (4)$$

139 using a simplified geometrical model assuming the infinitely long Lambertian boxes  
 140 (Caselles and Sobrino, 1989). Here, the  $P_s$  is the side proportion observed by the sensor,  
 141 and  $F'$ ,  $G'$  and  $F''$  are geometrical factors that the fraction of radiation emitted by a side  
 142 that reaches the ground, the proportion emitted by the ground that reaches a side and the  
 143 fraction emitted by a side that reaches the adjacent one, respectively (see Fig. 2). These  
 144 geometrical factors are also given by (Caselles and Sobrino, 1989) as

$$F' = (1 + H/S) - \sqrt{1 + (H/S)^2} , \quad (5)$$

$$G' = \frac{1}{2} [(1 + S/H) - \sqrt{1 + (S/H)^2}] \text{ and} \quad (6)$$

$$F'' = \sqrt{1 + (S/H)^2} - S/H . \quad (7)$$

145 Here, H is the height of the hypothetical vegetation elements and S is the distance between  
 146 them (see Fig. 2). H and S are determined according to a vegetation type. This model does  
 147 not take into account the shadow influence and double-scattering process. Sobrino et al.  
 148 (1990) proposed the derivation of  $P_s$  considering the case of an observation at a low  
 149 altitude. In this case, the representation of  $P_s$  is quite complicated because  $P_s$  of each  
 150 element in an instantaneous field of view differs greatly depending on satellite zenith angle  
 151 (SZA) of each element. However, in the case of an observation at a high altitude such as  
 152 the geostationary satellite, SZAs of each element in an instantaneous field of view are  
 153 almost same. Hence, we consider the fractional amount by a simplified form without  
 154 considering the difference of each SZA. Firstly, the simple geometry shows the proportion  
 155 of the top of cavity element  $P_t$  observed by a satellite sensor is constant in SZA  $\theta$ ,  
 156 whereas the ground proportion  $P_g$  linearly decreases as  $\theta$  increase until the ground  
 157 disappear from view of the sensor Here, the summation of  $P_t$ ,  $P_g$  and  $P_s$  is equal to 1. On  
 158 the contrary,  $P_s$  also increases linearly with  $\theta$  until the grounds disappear from view of the  
 159 sensor (until  $P_g = 0$ ), but then after,  $P_s$  is constant with increasing  $\theta$ . Himawari-8 is flying

160 at an altitude of 35786 km and the spatial resolutions of the visible and near-infrared bands  
 161 are 0.5–1.0 km. Thus, the SZAs that the grounds disappear from view of the AHI in one  
 162 pixel,  $\theta_d$ , are almost the same. Accordingly,  $\theta_d$ ,  $P_t$  and  $P_s$  can be expressed as;

$$\theta_d = \arctan\left(\frac{S}{H}\right), \quad (8)$$

$$P_t = \frac{F}{F + S}, \quad (9)$$

$$P_s = \begin{cases} \frac{(1 - P_t)\theta}{\arctan(S/H)}, & \theta < \theta_d \\ 1 - P_t, & \theta \geq \theta_d. \end{cases} \quad (10)$$

163 where F is the width of a box according to a vegetation type.  $\theta$  is the satellite zenith angle  
 164 of the AHI.

165 Peres and DaCamara (2005) assumed that the land surfaces in the urban area are flat  
 166 and are composed the mixture surfaces of construction concrete and paving asphalts.  
 167 However, the actual surfaces are rough due to the buildings (urban canopy). Therefore, we  
 168 consider the cavity effects of urban structures as well as the vegetation. The surface  
 169 emissivity in urban canopy  $\varepsilon_u$  is estimated as;

$$\varepsilon_u = \varepsilon_t P_t + \varepsilon_s P_s + \varepsilon_g P_g + d\varepsilon_u, \quad (11)$$

$$d\varepsilon_u = (1 - \varepsilon_g)\varepsilon_s F' P_g + [(1 - \varepsilon_s)\varepsilon_g G' + (1 - \varepsilon_s)\varepsilon_s F''] P_s. \quad (12)$$

170 where  $\varepsilon_t$  and  $\varepsilon_s$  are top and side emissivities, respectively. That is, we consider a mixture  
 171 of the roof ( $\varepsilon_t$ ), wall ( $\varepsilon_s$ ) and ground ( $\varepsilon_g$ ). In case an urban pixel includes vegetation,  $\varepsilon_u$

172 substitutes for  $\varepsilon_g$  in the second term on right side of Eq. (1).

173 The model assumes that vegetation and urban structures are distributed following  
174 infinitely long Lambertian boxes. However, the realistic approximation is the distribution of  
175 elements as square finite boxes. Caselles et al. (1997) reported the systematic error due to  
176 the use of two different geometric models is of the order of 0.1%.

177

## 178 *2.2 Assignment to the land cover class*

179 As mentioned above, the NDVI thresholds method basically requires the five  
180 predetermined value and two input data. The predetermined value are the vegetation and  
181 ground emissivities ( $\varepsilon_{v\lambda}$  and  $\varepsilon_{g\lambda}$ ) of each AHI TIR band and the scales of Lambertian  
182 boxes (F, H, and S) according to a vegetation type. The input data is the NDVI and SZA. In  
183 order to set the predetermined values according to vegetation type, these values are  
184 defined at each pixel based on the land cover class in accordance with Peres and  
185 DaCamara (2005). The land cover classification information is taken from the Global Land  
186 Cover by National Mapping Organizations (Tateishi et al., 2011; Tateishi et al., 2014;  
187 Kobayashi et al., 2017) version3 (GLCNMO 2013). The GLCNMO2013 is based on MODIS  
188 data observed in 2013. It employs 20 different land cover classes and the horizontal  
189 resolution is about 500 m. Unlike the International Geosphere-Biosphere Program (IGBP)  
190 class, it has the paddy field (class 12), mangrove (class 14) and two types of bare areas

191 (classes 16 and 17) additionally. The GLCNMO2013 data was resampled to the resolution  
192 of AHI/Himawari-8. The terrestrial surface is nearly 20% of all Himawari-8 main observation  
193 area (85 E–155 W, 60 S–60 N), and the percentage of each land cover class is shown in  
194 Table 1. Since the rice farming is flourishing in this area, the paddy fields (class 12)  
195 accounts for 3.95% of all land cover. When a paddy field pixel is judged as non-vegetated  
196 by NDVI criteria, there are two possibilities, one is the dry soil in fallow period and the other  
197 the flooding water surface in rice transplanting period. Thus, the paddy field (class 12)  
198 should be considered separately from cropland (class 11 or 13). In this sense,  
199 GLCNMO2013 is suitable for the LSE estimation in Asian area.

200 The NDVI threshold method is applied to the classes 1–14 and 16–18. The classes 15  
201 (Wetlands) and 19 (Snow/Ice) are given constant emissivity values regardless to seasonal  
202 changes of vegetation. In terms of the vegetation emissivity, we used the TIR volumetric  
203 bidirectional reflectance distribution function (BRDF) model (Snyder and Wan, 1998) to  
204 calculate the BRDF and integrate it over the hemisphere to obtain the directional  
205 hemispherical reflectance (DHR) and emissivity from reflectance of each leaf, bark or grass  
206 sample measured by laboratories. The TIR volumetric BRDF model form is:

$$f_{vol} = c_1 k_{vol}^{\rho} + c_2 k_{vol}^{\tau} + c_3 \quad (13)$$

207 the kernels are given by

$$k_{vol}^{\rho} = \frac{(\pi - \xi)\cos\xi + \sin\xi}{\cos\theta_i + \cos\theta_r} - \frac{\pi}{2}, \quad (14)$$

$$k_{vol}^{\tau} = \frac{-\xi\cos\xi + \sin\xi}{\cos\theta_i + \cos\theta_r}, \quad (15)$$

208 and the coefficients by

$$c_1 = \frac{2\rho}{3\pi^2} [1 - \exp(-bF)], \quad (16)$$

$$c_2 = \frac{2\tau}{3\pi^2} [1 - \exp(-bF)], \quad (17)$$

$$c_3 = \frac{\rho}{3\pi} [1 - \exp(-bF)] + \frac{\rho_0}{\pi} [\exp(-bF)]. \quad (18)$$

209 here  $\theta_i$  and  $\theta_r$  are the incident zenith angle and reflected zenith angle;  $\rho$  is the leaf, bark  
 210 or grass reflectance and  $\rho_0$  is the ground reflectance;  $\tau$  is the leaf transmission;  $bF$  is  
 211 the optical depth;  $\xi$  is the scattering angle between incidence and reflection.  $\xi$  is given by:

$$\xi = \arccos(\cos\theta_i\cos\theta_r + \sin\theta_i\sin\theta_r\cos\phi) \quad (19)$$

212 where,  $\phi$  is the relative azimuth angle between the incident and reflected directions.  $\tau$  is  
 213 taken to be zero for the TIR region and  $bF$  is taken to be infinite (Snyder and Wan, 1998).  
 214 Therefore, this model requires not a ground reflectance  $\rho_0$  but a leaf, bark or grass  
 215 reflectance  $\rho$  to determine the volumetric BRDFs  $f_{vol}$ . The leaf, bark and grass  
 216 emissivities for each TIR band are weighted by the spectral response function of each TIR  
 217 band. Table 2 shows the leaf, bark and grass samples assigned to land cover types. These  
 218 samples were selected from the MODIS UCSB emissivity library

219 (<http://www.icesse.ucsb.edu/modis/EMIS/html/em.html>; Wan et al., 1994) and the ASTER  
220 spectral library (<http://speclib.jpl.nasa.gov/>; Baldrige et al., 2009) and were based on the  
221 available spectra as representing the general features of trees though more kinds of  
222 vegetation should be considered. The seasonal change of vegetation is considered as  
223 green and senescent states (Snyder et al., 1998). The seasonal states, green or senescent  
224 of each pixel are judged by using the maximum NDVI in past 14-day composites and the  
225 annual mean NDVI value consist of 12 periods of 30-day composite as the thresholds. If the  
226 maximum NDVI in past 14-day composites of a pixel is greater (smaller) than the annual  
227 mean NDVI of the same pixel, the seasonal state of the pixel is judged as green  
228 (senescent). The combination ratios of classes 5–10, class 13 and classes 15–18 were  
229 determined by referring to Peres and DaCamara (2005). The vegetation emissivity ( $\varepsilon_{v\lambda}$ )  
230 used for the predetermined value is considered as the mean value of the vegetation  
231 emissivities of the materials for each class.

232 The paddy field (class 12) has three characteristics periods, such as the flooding and rice  
233 transplanting period, the growing period and the fallow period (Xiao et al., 2002). The state  
234 for the paddy field is sub-divided as shown in Table 2. The growing period in the green  
235 state may means vegetative growth stage or reproductive stage, while the growing or the  
236 fallow period in the senescent state may mean ripening stage or weeds in the fallow period.  
237 In order to judge the flooding and rice transplanting period, the difference between

238 normalized difference water index (NDWI) and NDVI values (Xiao et al., 2002) consist of  
239 14-day composites is used. The NDWI is defined as;

$$NDWI = \frac{R_{0.8} - R_{1.6}}{R_{0.8} + R_{1.6}} \quad (20)$$

240 where  $R_{0.8}$  and  $R_{1.6}$  are the reflectance of near-infrared observed in band 4 and band 5  
241 of AHI, respectively. If a pixel of paddy field has smaller NDVI than NDWI, the pixel is  
242 judged as water body and is considered as the wetland (class 15).

243 The ground emissivity is also evaluated based on the MODIS UCSB emissivity library  
244 and the ASTER spectral library. Each land class is assumed to be covered by materials  
245 listed in Table 3. The gross emissivity of each material, for example 'Inceptisol', is  
246 computed by integrating the spectral emissivity in library with the weight of sensor response  
247 function over the band width. When sub-materials exist, the value is averaged over the  
248 sub-materials. It is worth noting that classes 15 (Wetlands) and 19 (Snow/Ice) are also  
249 listed in Table 3 though the NDVI threshold method is not applied. The ground emissivity of  
250 each class ( $\varepsilon_{g\lambda}$ ) is computed as the mean over component materials in Table 3. The Table  
251 3 is modified from the corresponding table in Peres and DaCamara (2005) considering the  
252 difference in soil components Asia and Oceania from Europe and Africa region. The soil  
253 and rock materials of each land cover are decided by referring to the global soil regions  
254 map ([https://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/use/?cid=nrcs142p2\\_054013](https://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/use/?cid=nrcs142p2_054013)).  
255 The soil type under broadleaf evergreen forest (class 1) in the tropical zone, is actually

256 'ultisols' but is assumed as in Table 3. It is because the 'ultisols' are not included in ASTER  
257 library, but it may not cause much problem since the ground surfaces are usually covered  
258 by the crown canopy and the forest floor in these tropical forests. The ground material in the  
259 mangrove (class 14) is set as water, though it has a property of broadleaf evergreen forest.  
260 For the snow/ice surfaces, the areas other than class 19 sometimes be also covered by  
261 snow or ice. Considering this case, the snow/ice surfaces are detected using the  
262 normalized difference snow and ice index (NDSII) in addition to the region in class 19. The  
263 NDSII is defined as (Xiao et al., 2001);

$$NDSII = \frac{R_{0.6} - R_{1.6}}{R_{0.6} + R_{1.6}} \quad (21)$$

264 The NDSII data is obtained by past 4-day composites. When NDSII of a pixel is greater  
265 than 0.4, the mean emissivity of snow and ice is assigned to the pixel. Although the  
266 atmospheric correction is required to calculate the NDWI, NDVI and NDSII in more detail, it  
267 is not considered and is a future task in this study.

268 Table 4 shows the scales of Lambertian boxes (F, H, and S) of each vegetation type.  
269 These values were set based on Sobrino et al. (1990), Valor and Caselles (1996) and  
270 Peres and DaCamara (2005). The forest regions are assumed to be short separation and  
271 high boxes. Shrub, sparse and unforested regions are assumed to be long separation and  
272 low boxes. The length assumed at each face has a reasonable range. We calculate all  $d\varepsilon$   
273 by combinations of three lengths and then consider the mean  $d\varepsilon$  as the representative

274 value of each class.

275 Since we are to use the retrieved LST to the research in urban environment study, a  
276 special attention is paid for urban canopy effect. The geometrical model for surface  
277 emissivity in urban area also requires the surface emissivities of the top, side and ground of  
278 each AHI TIR band and the scales of Lambertian boxes (F, H, and S) according to urban  
279 canopy. Table 5 shows the manmade materials and the scales applied to each face of  
280 urban structure. The scales of urban structure were based on Moriwaki et al. (2002),  
281 Strømman-Andersen and Sattrup (2011) and Ito et al. (2015). The roofing (top) materials  
282 are considered the rubbers and shingles except for the metals. The wall (side) materials are  
283 considered the construction concretes except for bricks, window glasses, paints and woods.  
284 The urban structures have various characteristics by a degree of development, such as  
285 commercial, industrial and residential area. Although it is possible to set values  
286 corresponding to each urban structure by using more detailed land use data (Yang et al.,  
287 2015a, b), we made a rough estimate by assuming an average structure. The mean  $d\varepsilon_u$  of  
288 all combinations of three lengths are calculated as the representative cavity effect due to  
289 the urban canopy. Note that the internal reflection due to the trees cannot occur over the  
290 roof faces since assumed building height is higher than the assumed tree height in Table 3.  
291 Therefore, when we calculate the cavity effect in urban area due to the trees using Eq. (4),  
292 the ground emissivities are considered as consisting construction concretes, road asphalts

293 and tar, not  $\varepsilon_u$  (Eq. 11).

294

### 295 **3. Results and Discussions**

#### 296 *3.1. Vegetation and ground emissivity*

297 Table 6 and 7 show the emissivities of vegetation and ground for each land cover class  
298 as derived by the procedure described in the previous section. For the vegetation, both  
299 green and senescent states are listed. The deviation (Dev.) is computed by considering the  
300 experimental error of each component material consisting a class. The experimental errors  
301 of emissivity in the laboratory and the field measurements are assumed as  $\pm 0.005$   
302 (Caselles et al., 1997). The 'Dev.' is calculated as;

$$\text{Dev.} = \sqrt{\frac{1}{3n-1} \left\{ \sum_{i=1}^n (\varepsilon_i - \bar{\varepsilon})^2 + \sum_{i=1}^n (\varepsilon_i + 0.005 - \bar{\varepsilon})^2 + \sum_{i=1}^n (\varepsilon_i - 0.005 - \bar{\varepsilon})^2 \right\}} \quad (22)$$

303 where  $n$  is a number of component materials of each class and  $\bar{\varepsilon}$  is mean emissivity of  
304 each class. The vegetation has basically higher emissivity and lower deviation than soils or  
305 rocks. The senescent vegetation has lower emissivity and higher deviation than the green  
306 vegetation. The class 16 (bare area, consolidated) has the lowest emissivity and the  
307 highest deviation in the ground emissivity. Table 8 shows the emissivity and deviation at  
308 each face of urban structure corresponding to Table 5. The deviations are also calculated  
309 considering  $\pm 0.005$  experimental error of component materials. The deviation is high

310 especially at the top. This is because the roofing materials have a large variety in emissivity.  
311 The values for band 13, 14, 15 range, respectively, from 0.8598 to 0.9661, 0.9177 to  
312 0.9725 and 0.9288 to 0.9808. If the metal roofing materials and window glasses are  
313 considered, there is a possibility of higher deviation because these emissivities are quite  
314 low less than 0.8.

315

### 316 *3.2. Magnitude of the cavity effect*

317 The cavity effect of vegetation,  $d\varepsilon$ , is shown in Fig. 3 as functions of SZA and FVC for  
318 the green state. The left-hand column of Fig. 3 shows the SZA dependences of  $d\varepsilon$  for  
319 three TIR bands. They were calculated assuming the FVC = 0.5. The right-hand column  
320 shows the FVC dependences for three TIR bands. They were calculated assuming the SZA  
321 = 20°.  $d\varepsilon$  values are greatly varied with vegetation type, and  $d\varepsilon$  of classes 1, 2, 3, 4 and  
322 5 are especially high. Conversely,  $d\varepsilon$  of the regions assumed low-height trees and  
323 long-separation between them, such as classes 10, 16, 17, 18 are less than 0.01. Thus, we  
324 may not consider the cavity effects in those regions. The  $d\varepsilon$  slightly increases with  
325 increasing SZA, while it obviously decreases with increasing FVC. In reality, the FVC (the  
326 side proportion) is not constant but increases with increasing SZA. Therefore, as mentioned  
327 by Valor and Caselles (1996), it is considered that  $d\varepsilon$  decreases with increasing SZA.

328 The cavity effect due to the urban structure,  $d\varepsilon_u$ , for SZA ranging from 0° to 60° is shown

329 in Table 9. The  $d\varepsilon_u$  of band 13 and 14 are greater than 0.01 for all the SZAs and  $d\varepsilon_u$  of  
330 band 15 is approximately 0.01. Atitar and Sobrino, (2009) reported that the emissivity error  
331 greater than 0.01 causes the LST retrieval error of approximately 1 K. Hence, it is  
332 necessary to consider the cavity effect in the urban areas same as the vegetation. Yang et  
333 al. (2015a) estimated the LSE in TIR band of the Landsat 8 in urban area using the building  
334 GIS data. They reported the differences reach 0.02 between the material emissivity and  
335 effective emissivity considered the cavity effect especially over built-up areas. Table 10  
336 shows the urban surface emissivity  $\varepsilon_u$  and  $d\varepsilon_u$  of two geometrical shapes; one has a  
337 large  $d\varepsilon_u$  (type A: F = 10 m, H = 15 m, S = 20 m) and the other has a small  $d\varepsilon_u$  (type B: F  
338 = 20 m, H = 7 m, S = 10 m) for three TIR bands in the SZA ranging from 0° to 60° at  
339 intervals of 20°. The  $\varepsilon_u$  and  $d\varepsilon_u$  differences between type A and B are shown at the  
340 bottom of the Table 10. Both types of A and B are assumed for estimation of  $d\varepsilon_u$  in this  
341 study as shown in Table 5.  $d\varepsilon_u$  of type A for band 13 certainly reaches 0.02. The  $\varepsilon_u$  and  
342  $d\varepsilon_u$  differences between type A and B for band 13, 14 are greater than 0.01. Therefore, if  
343 we observe the urban areas in more detail, it should require the land use data having a  
344 higher spatial resolution, such as the building GIS data. The similar sophisticated method  
345 may be possible for other vegetation and/or bare soil areas, if the detailed *in situ* database  
346 is available. Then, it will be possible to acquire the more detail  $\varepsilon_v$ ,  $\varepsilon_u$  ( $\varepsilon_g$ ), F, H, S  
347 information.

348

349 *3.3. Seasonal and dynamic changes of LSE*

350 Figure 4 shows the time changes of LSEs for three AHI TIR bands, NDVI and NDSII over  
351 a cropland in Japan (42.74°N, 143.14°E) from August 2015 to December 2015. The NDVI  
352 decreases gradually through the observed term. On the other hand, the LSEs suddenly  
353 decrease in late October when NDVI becomes smaller than a threshold for the judgment of  
354 seasonal state of the pixel. After the decrease, the LSEs are constant or a little increasing.  
355 In this period, the cavity effect of vegetation increases with decreasing FVC in November.  
356 In December, the sudden changes of the NDSII and LSEs due to snow/ice coverage are  
357 observed.

358 When a land surface is covered by snow/ice, the observed value of NDVII becomes low.  
359 Thus, a LSE estimation error due to the misjudgment of snow/ice coverage is almost same  
360 as the difference between ground emissivity of each class and snow/ice emissivity of class  
361 19 (see Table 7).

362 Figure 5 shows the time changes of LSEs for three AHI TIR bands, NDVI and NDWI over  
363 a paddy field in Japan (37.58°N, 138.85°E) from April 2016 to June 2016. The NDVI is  
364 generally larger than the NDWI. However, the NDWI becomes larger than the NDVI from  
365 May to early June. This is due to the flooding and rice transplanting. The LSEs change  
366 according to this inversion of the relation between NDVI and NDWI. If the NDWI is not

367 considered, the LSE for the flooding and rice transplanting period is assigned as near the  
 368 value of ground emissivity. Thus, the LSE estimation error due to the misjudgment of  
 369 flooding is near the difference between the ground emissivity of class 12 and the emissivity  
 370 of class 15 (see Table 7). The misjudgments of seasonal and dynamic states, such as  
 371 senescent vegetation, snow/ice coverage and flooding in the paddy field may cause large  
 372 LSE error. Although these are the inherent disadvantages of the classification based  
 373 method and the NDVI thresholds method, our considerations using NDSII and NDWI  
 374 reduce the errors.

375

### 376 3.4. Sensitivity analysis

377 The *in-situ* evaluation of LSE is practically impossible, so that we assess the possible  
 378 total LSE error for each class instead. We perform a sensitivity analysis as regard to input  
 379 data. Based on Eq. (1) – (10), the total LSE error is defined as (Caselles et al., 1997)

$$\delta\varepsilon_{\lambda} = \left| \frac{\partial\varepsilon_{\lambda}}{\partial\varepsilon_{\lambda v}} \right| \delta\varepsilon_{\lambda v} + \left| \frac{\partial\varepsilon_{\lambda}}{\partial\varepsilon_{\lambda g}} \right| \delta\varepsilon_{\lambda g} + \left| \frac{\partial\varepsilon_{\lambda}}{\partial P_v} \right| \delta FVC + \left| \frac{\partial\varepsilon_{\lambda}}{\partial F} \right| \delta F + \left| \frac{\partial\varepsilon_{\lambda}}{\partial H} \right| \delta H + \left| \frac{\partial\varepsilon_{\lambda}}{\partial S} \right| \delta S \quad (23)$$

380 The emissivity errors of each class ( $\delta\varepsilon_{\lambda v}$  and  $\delta\varepsilon_{\lambda g}$ ) are computed by considering  $\pm 0.005$   
 381 experimental errors for all materials and bands (i.e., these errors are equal to Dev. of each  
 382 class as shown in Table 6 and 7). F, H and S errors are assumed as 10% of their value (i.e.,  
 383  $\delta F$ ,  $\delta S$  and  $\delta H$  are the deviations corresponding to the errors of  $\pm 0.1F$ ,  $\pm 0.1S$  and  
 384  $\pm 0.1H$ ). For example, when we calculate  $\delta F$  for  $F = 1.0$  m of the class 1,  $\delta F$  is calculated

385 as  $\sqrt{\frac{1}{2}\{(1.1 - 1.0)^2 + (0.9 - 1.0)^2\}}$ . These emissivity and shape errors are based on  
 386 Caselles et al. (1997). For the proportions of F, H and S, Caselles et al. (1997) considered  
 387 a mixed pixel of FVC = 0.5 and an SZA of  $P_s = 0.2$  and  $P_t = 0.3$ . Instead, we consider a  
 388 situation; FVC = 0.5 and SZA =  $20^\circ$ . On this basis, the FVC estimation error is assumed to  
 389 vary between 5 % and 25 %, which is an appropriate estimation error range based on  
 390 vegetation indices (Caselles et al., 1997; Peres and DaCamara, 2005). Urban canopy is  
 391 additionally considered in this study. Therefore, instead of  $\delta\varepsilon_{\lambda g}$ , for urban area,  $\varepsilon_{\lambda gu}$ , is  
 392 calculated by

$$\delta\varepsilon_{\lambda gu} = \left| \frac{\partial\varepsilon_\lambda}{\partial\varepsilon_{\lambda t}} \right| \delta\varepsilon_{\lambda t} + \left| \frac{\partial\varepsilon_\lambda}{\partial\varepsilon_{\lambda s}} \right| \delta\varepsilon_{\lambda s} + \left| \frac{\partial\varepsilon_\lambda}{\partial\varepsilon_{\lambda g}} \right| \delta\varepsilon_{\lambda g} + \left| \frac{\partial\varepsilon_\lambda}{\partial F} \right| \delta F + \left| \frac{\partial\varepsilon_\lambda}{\partial H} \right| \delta H + \left| \frac{\partial\varepsilon_\lambda}{\partial S} \right| \delta S \quad (24)$$

393 The top, side and ground emissivity errors,  $\delta\varepsilon_{\lambda t}$ ,  $\delta\varepsilon_{\lambda s}$  and  $\delta\varepsilon_{\lambda g}$ , are computed by  
 394 considering  $\pm 0.005$  experimental errors for all materials and bands (i.e., these errors are  
 395 equal to Dev. of each class as shown in Table 8). F, H and S errors for urban canopy are  
 396 also assumed as 10% of their value.

397 Figure 6 shows the total LSE error of each GLCNMO class and TIR band for two  
 398 seasonal states. The left-hand column of Fig. 6 is for the green state and the right-hand  
 399 column is for the senescent state. The total LSE error for senescent state is excluded the  
 400 evergreen-vegetation classes (classes 1, 3 and 14), class 15 (wetland) and class 19  
 401 (snow/ice). Since the NDVI thresholds method is not applied to the classes 15 and 19, they  
 402 are not affected by the FVC estimation error. The LSE error for band 13 is the highest of the

403 three TIR bands. While the errors of most classes for band 14 and 15 are less than 0.01,  
404 the errors of classes 16 and 18 for band 13 are greater than 0.01 and especially the error of  
405 class 18 reaches approximately 0.015. It is thought that the error of class 16 is caused by  
406 the large deviation of ground emissivity (see Table 7) and the error of class 18 is caused by  
407 not only the large deviation of ground and top emissivity (see Table 8) but also the large  
408 instability of  $d\varepsilon_u$  due to urban surface structure (see Table 10). On the other hand, the  
409 areas classified as the forest (classes 1, 2, 3, 4, 5 and 14) have the quite low LSE errors,  
410 even the LSE errors for band 13 are less than 0.005. Furthermore, the influences of the  
411 FVC estimation error for these classes are very small.

412 The above results except for urban (class 18) have the estimation accuracy comparable  
413 to previous studies with the semi-empirical method (Snyder et al., 1998; Peres and  
414 DaCamara, 2005). For the urban area, it is obvious that the large LSE error occurred in the  
415 spatial resolution of global map (approximately  $0.01^\circ$ ) by making a rough estimate of urban  
416 surface structure.

417

### 418 *3.5. Possible misclassification in GLCNMO2013*

419 The LSE error due to misclassification of land cover map should also be considered.  
420 Kobayashi et al. (2017) reported the misclassification ratio of GLCNMO2013, in that  
421 classification accuracies in some forest types (classes 2-11 and 13) are low. The “user’s

422 accuracies” of these classes range from 47% to 79% and “the producer’s accuracies” range  
423 from 48% to 74%. Evergreen forest (class 1) and mangrove (class 14) have more than 84%  
424 of user’s accuracies and more than 90% of producer’s accuracies. Paddy field (class 12)  
425 has 84% of user’s accuracy and 77% of producer’s accuracy. It is generally difficult to  
426 distinguish among different forest types, so that the similar tendency of misclassification is  
427 found in other global land cover maps, such as the International Geosphere-Biosphere  
428 Program Data and Information System (IGBP-DIS) and the MODIS MOD12Q1 land-cover  
429 product (Peres et al., 2005). For other classes, wetland (class 15) has 87% of user’s  
430 accuracy and 65% of producer’s accuracy. Bare area, consolidated (class 16) has 78% of  
431 user’s accuracy and 76% of producer’s accuracy. Bare area, unconsolidated (class 17) has  
432 89% of user’s and producer’s accuracies. Urban (class 18) has 100% of user’s accuracy  
433 and 98% of producer’s accuracy. Snow/ice (class 19) has 98% of user’s and producer’s  
434 accuracies.

435 The misclassification is most likely to occur within different vegetation classes. Both  
436 vegetation and ground emissivity differences between vegetation classes for the green  
437 state are less than 0.01 for three bands (see Table 6 and 7). For the senescent state,  
438 however, the vegetation emissivity difference may reach to about 0.015 (e.g., the difference  
439 between classes 7 and 8 in band 14). Another source of the misclassification resides in  
440 bare areas (classes 16 and 17), which may cause larger LSE error (about 0.02–0.05). As

441 described in the section 2.2, different emissivity assignment methods are applied to the  
442 paddy field, urban, wetland and snow/ice. The misclassification in these classes leads to  
443 the misapplication between the methods, which may cause large LSE error. Fortunately,  
444 paddy field, urban, wetland and snow/ice have high classification accuracies. Therefore, it  
445 is thought that the misapplication of emissivity assignment is expected to small.

446

### 447 *3.6. Influence on LST estimation*

448 Yamamoto et al. (2017) evaluated the sensitivities of their newly developed LST  
449 algorithm, nonlinear three-band algorithm, to the uncertainties of LSEs for three bands ( $\pm$   
450 0.02) and compared with other existing algorithms, nonlinear split-window algorithm by  
451 Sobrino and Romaguera (2004) and three-band algorithm by Sun and Pinker (2003). The  
452 nonlinear three-band algorithm has a LST calculation formula which is improved by adding  
453 quadratic terms of the difference between 2 brightness temperatures into the three-band  
454 algorithm by Sun and Pinker (2003). The algorithm makes the maximum use of AHI window  
455 TIR bands, bands 13, 14 and 15. It is reported that the LST estimation error caused by the  
456  $\pm 0.02$  LSE uncertainties for the nonlinear split-window, three-band and nonlinear  
457 three-band algorithms are 2.90 K, 3.00 K and 1.84 K (at nadir), respectively. The sensitivity  
458 analysis for LSE estimation (Fig. 6) ensures smaller LST estimation error than their derived  
459 LST errors. The misjudgments of seasonal and dynamic states may cause  $\pm 0.02$  LSE error

460 in maximum, which in turn yield the LST error evaluated by Yamamoto et al. (2017).  
461 Therefore, the LSE error influence on the final LST retrieval accuracy is concluded within  
462 the allowable range. Only the misclassification in bare areas (classes 16 and 17), however,  
463 may cause larger LST error since the ground emissivity difference between them is large.

464

#### 465 **4. Conclusions**

466 The LSE maps for AHI three TIR bands were developed by using a semi-empirical  
467 method based on Peres and DaCamara (2005). These are to be used in the LST retrieval  
468 from Hiamwari-8. Peres and DaCamara (2005) assigned the emissivity value of the mixture  
469 of vegetation and soil to each pixel based on the IGBP land cover class. The fractional  
470 vegetation cover of each pixel is estimated from the NDVI observation. Because the AHI  
471 also has visible and near-infrared bands which is needed to calculate the NDVI, it becomes  
472 possible to apply their method. In this study, the land cover classification information is  
473 taken from the GLCNMO2013 and material emissivities of soil, vegetation and others are  
474 taken from the MODIS UCSB emissivity library and the ASTER spectral library.

475 We newly added three considerations over Peres and DaCamara (2005). In order to  
476 consider phenology, two seasonal states, green and senescent, are considered by using  
477 the NDVI value on the basis of the annual mean NDVI consist of 12 periods of 30-day  
478 composite. Accordingly, slightly lower emissivity is assigned to the senescent state and

479 higher emissivity value is assigned to the green state. Secondly, the flooding and rice  
480 transplanting period in the paddy fields and snow/ice coverage are detected using the  
481 NDWI, NDVI and NDSII. When a pixel is covered by snow/ice, the emissivity value of  
482 snow/ice is assigned independent to the pixel class. For paddy fields, when a pixel is  
483 judged as the flooding and rice transplanting period, the high emissivity value that has both  
484 grass and water properties is assigned. Thirdly, the cavity effect due to the urban canopy is  
485 considered by extending the geometrical model for vegetation canopy proposed by  
486 Caselles and Sobrino, (1989). Several typical urban structures and the gross emissivity of  
487 each face of urban structure are assumed. Peres and DaCamara (2005) assumed that the  
488 land surfaces in the urban area are flat, and reported that the estimation error was low.  
489 However, our result shows that the average value of the cavity effect on LSE is as large as  
490 0.01 and it varies greatly depending on the urban structure. It reaches to 0.02 especially  
491 over built-up area. The total LSE error is also estimated. It was especially high in urban  
492 area. Thus, it is obvious that the cavity effect of urban structure cannot be ignored even in  
493 global (approximately 1 km) scale.

494 The sensitivity analysis shows that the total LSE errors for three bands are less than 0.02.  
495 The error is especially stable at the vegetation area, where it is less than 0.01. However, we  
496 have to pay attention to the misclassification in bare areas and the misjudgments of  
497 seasonal and dynamic states, which may cause large LSE error. Because this accuracy of

498 the LSE is comparable to that of previous studies (Snyder et al., 1998; Peres and  
499 DaCamara, 2005), the LSE maps are sufficiently applicable to the multi-channel LST  
500 algorithm, such as a split-window algorithm and a three-band algorithm. Our LSE product  
501 may also provide initial-guess estimates for the two-temperature method (TTM) that  
502 retrieve the LST and LSE simultaneously (Peres and DaCamara, 2004).

503

504

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508

509

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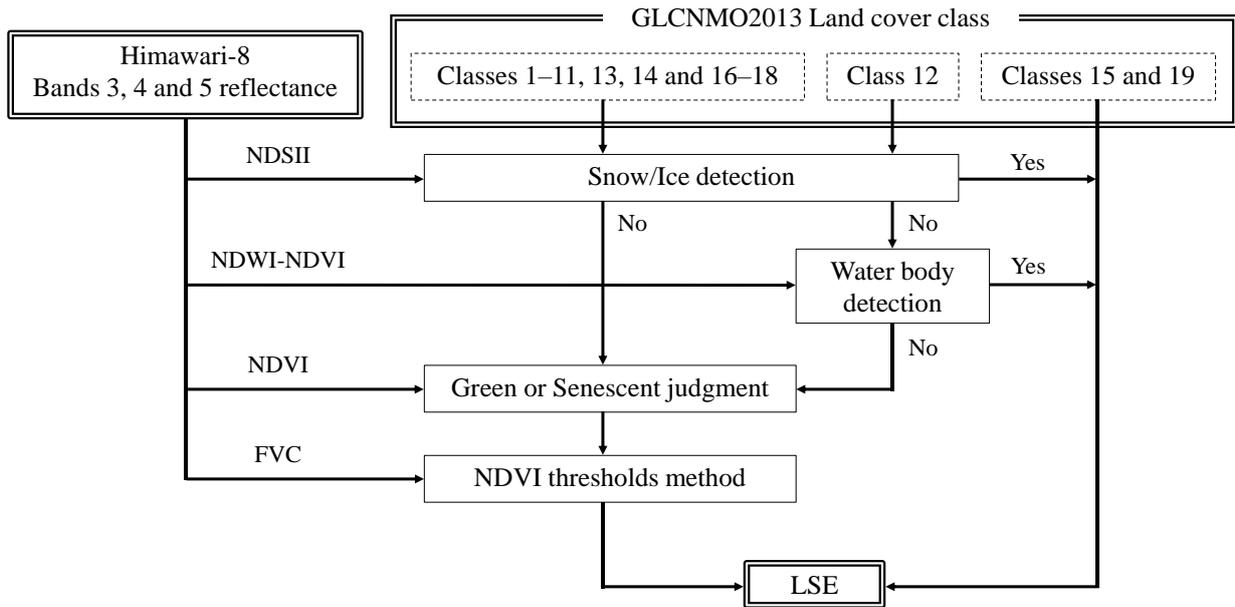
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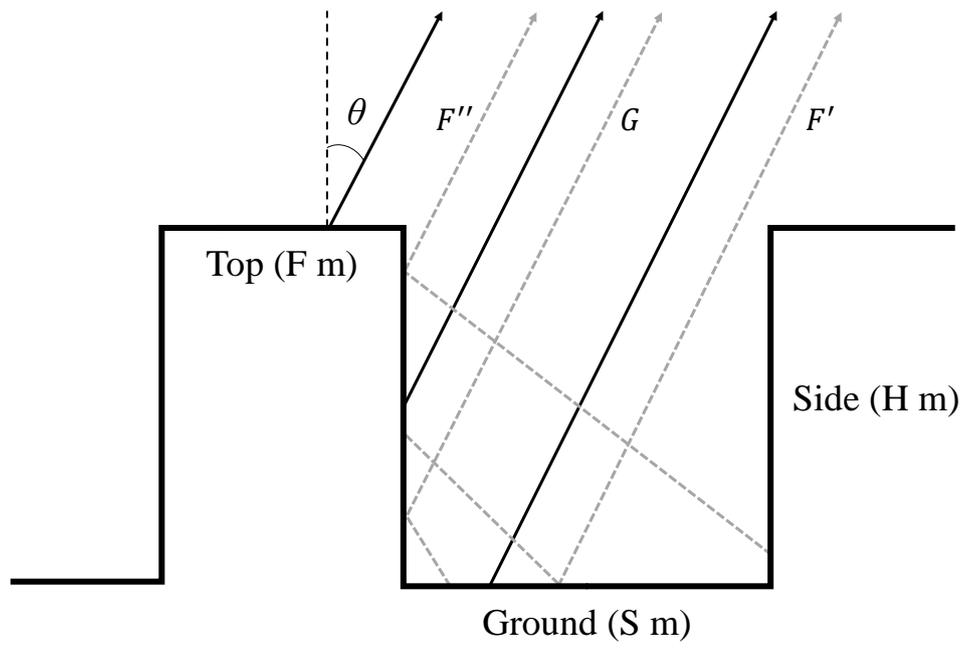
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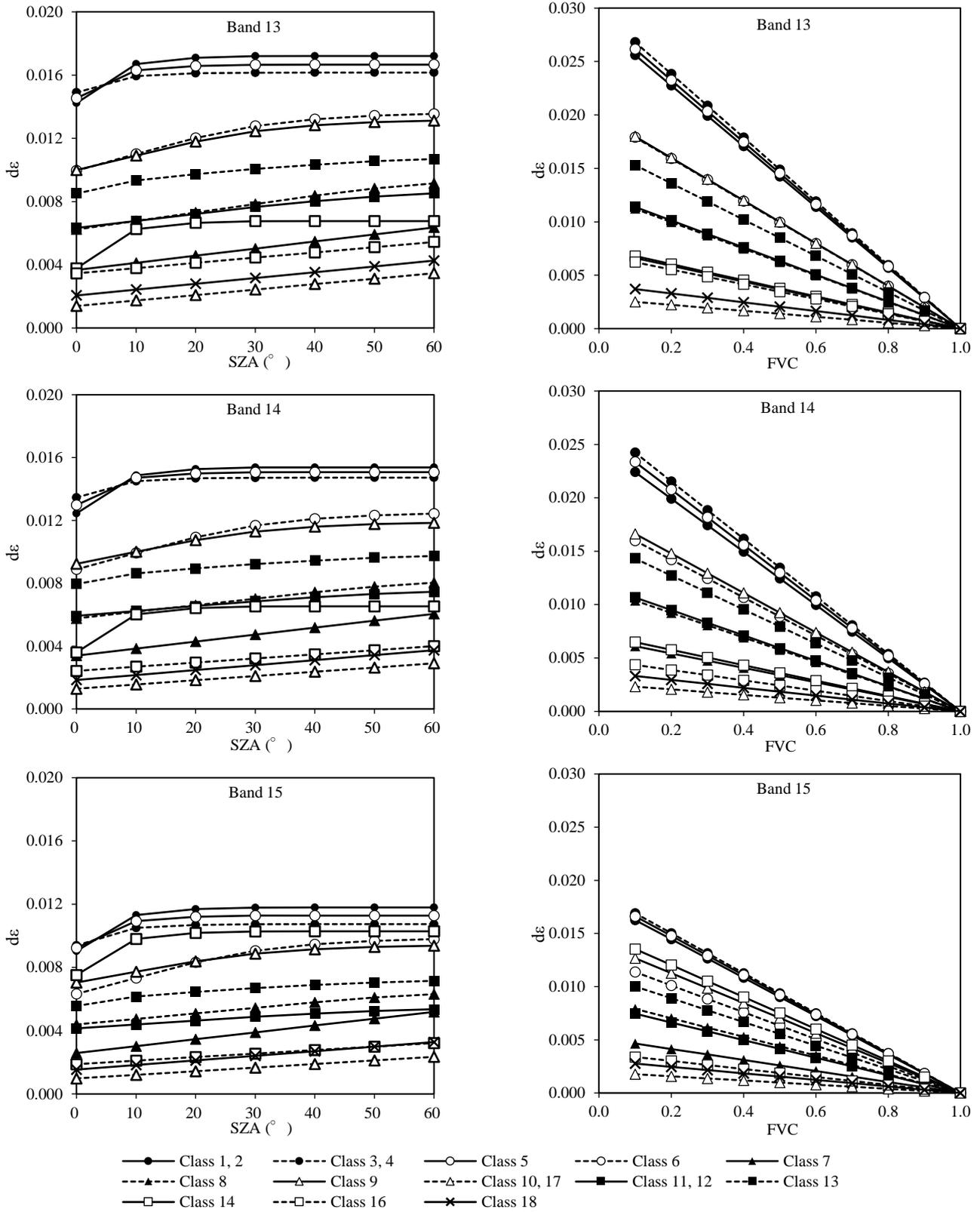
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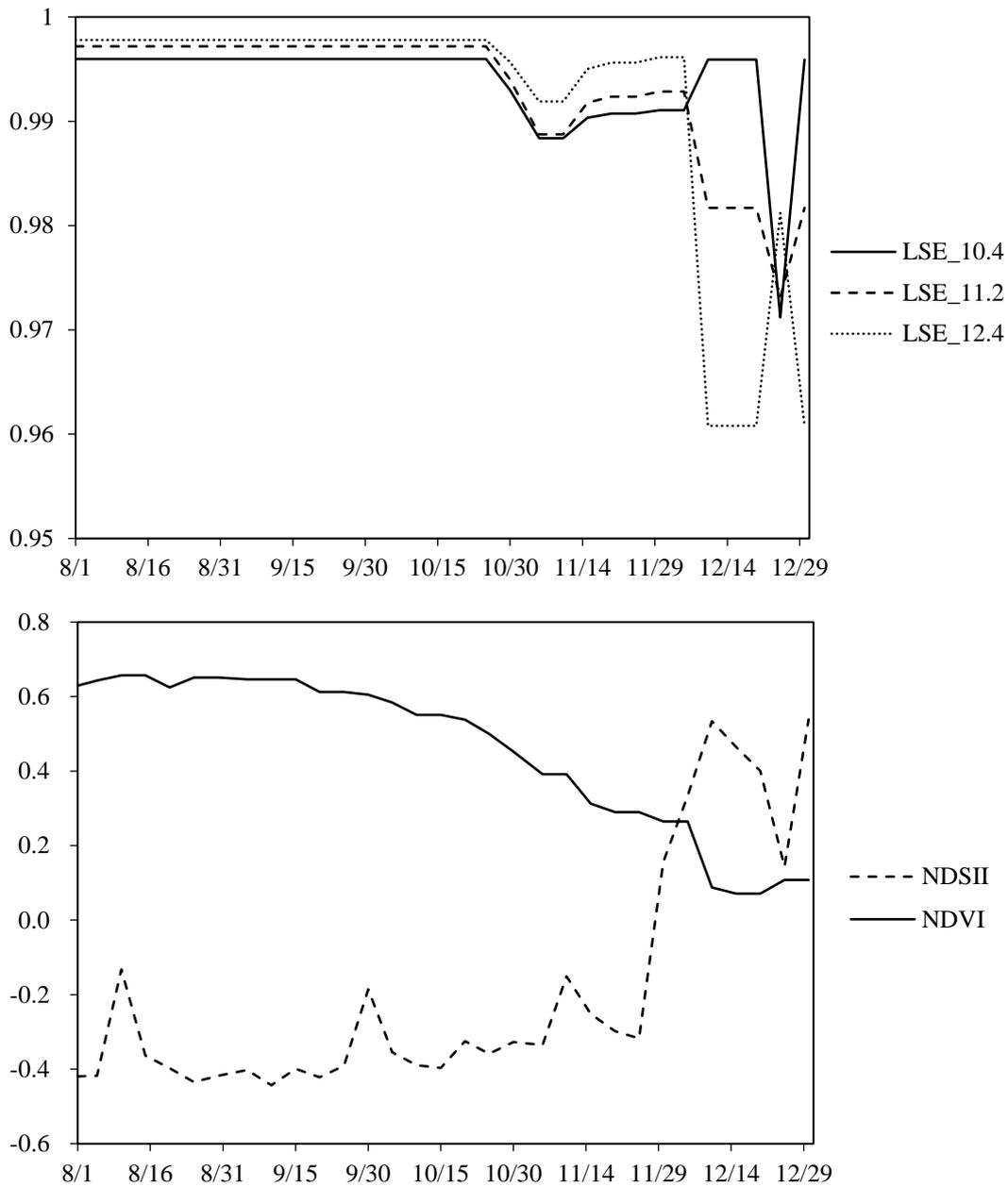
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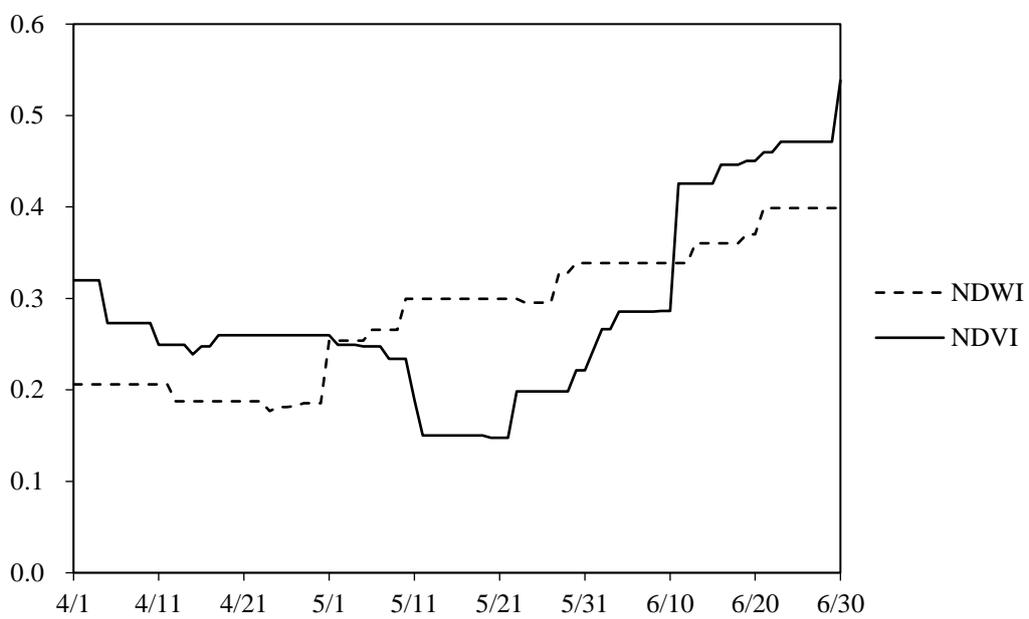
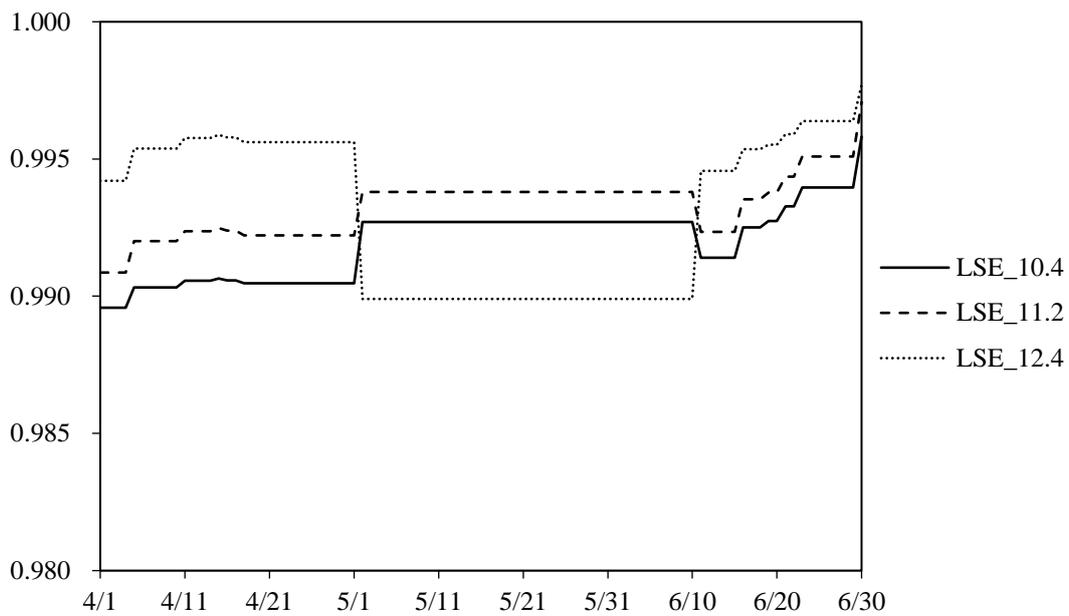
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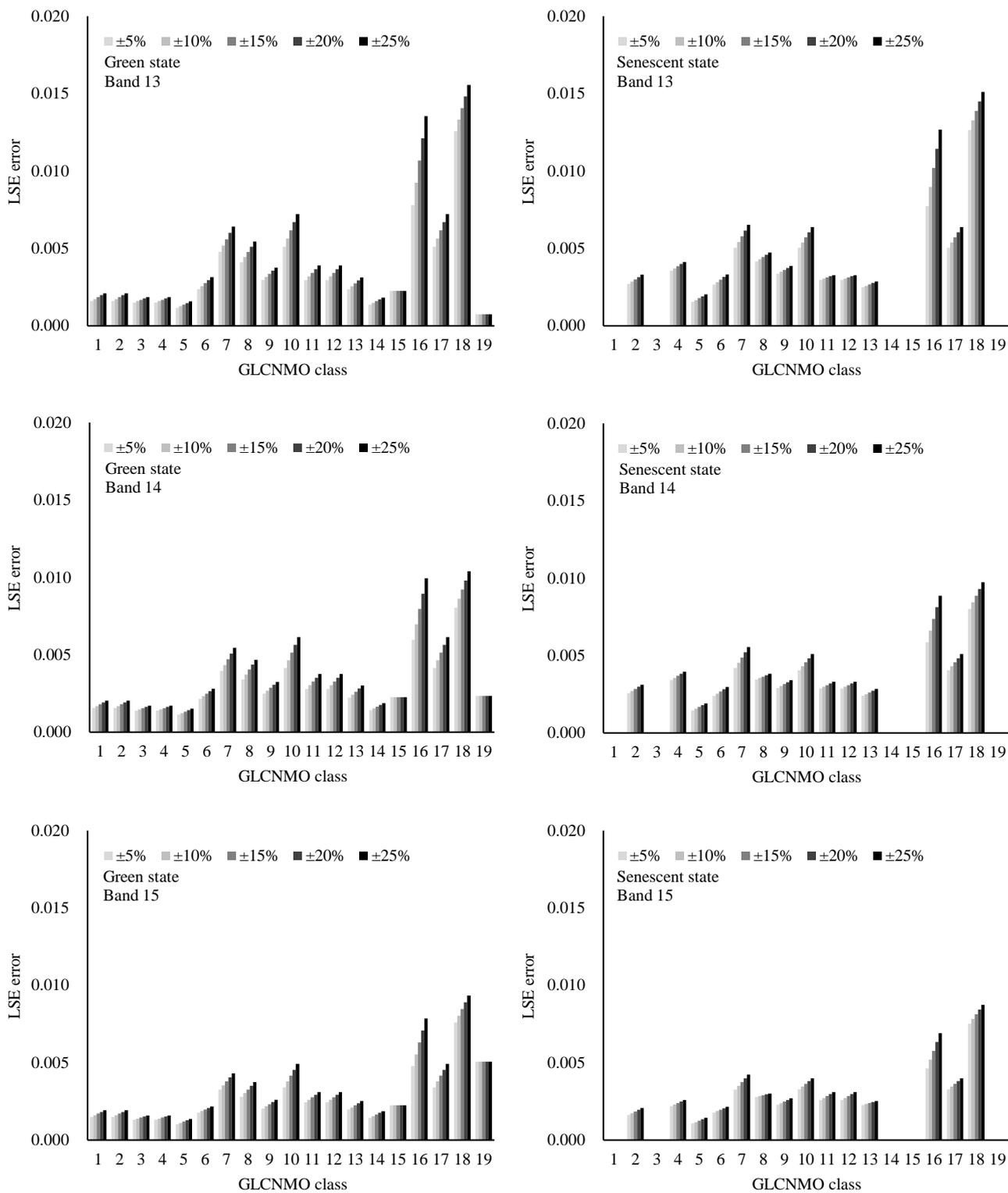


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716 Table 1 Percentage of each land cover class in Himawari-8 main observation area (85 E–  
 717 155 W, 60 S–60 N). The water body (class 20) is excluded.

718

GLCNMO class	Percentage (%)
1. Broadleaf Evergreen Forest	12.65
2. Broadleaf Deciduous Forest	8.86
3. Needleleaf Evergreen Forest	3.17
4. Needleleaf Deciduous Forest	4.60
5. Mixed Forest	4.75
6. Tree Open	8.32
7. Shrub	9.53
8. Herbaceous	11.41
9. Herbaceous with Sparse Tree/Shrub	0.66
10. Sparse Vegetation	8.14
11. Cropland	10.61
12. Paddy Field	3.95
13. Cropland/Other Vegetation Mosaic	6.18
14. Mangrove	0.15
15. Wetland	0.56
16. Bare area, Consolidated (gravel, rock)	4.39
17. Bare area, Unconsolidated (sand)	1.50
18. Urban	0.44
19. Snow/Ice	0.13
Total land surface coverage	100.00

719

720 Table 2 The materials for vegetation of each land cover.

721

GLCNMO class	Green	Senescent
1. Broadleaf Evergreen Forest	Oak, Bronze Loquat, Evergreen Pear	—
2. Broadleaf Deciduous Forest	Oak, Bronze Loquat, Evergreen Pear	Oak, Maple, Bark
3. Needleleaf Evergreen Forest	Pine (New), Cypress	—
4. Needleleaf Deciduous Forest	Pine (New), Cypress	Pine (Old), Bark
5. Mixed Forest	The mean of classes 1 and 3	The mean of classes 1, 2, 3 and 4
6. Tree Open	The mean of classes 1 and 3	The mean of classes 1, 2, 3 and 4
7. Shrub	The mean of classes 1 and 3	The mean of classes 1, 2, 3 and 4
8. Herbaceous	20 % of classes 1 and 3 + 80 % of Green grass	20 % of classes 2 and 4 + 80 % of Dry grass
9. Herbaceous with Sparse Tree/Shrub	40 % of classes 1 and 3 + 60 % of Green grass	40 % of classes 2 and 4 + 60 % of Dry grass
10. Sparse vegetation	20 % of classes 1 and 3 + 80 % of Green grass	20 % of classes 2 and 4 + 80 % of Dry grass
11. Cropland	Green grass	Dry grass
12. Paddy field	Flooding and rice transplanting: class 15, Growing: Green grass	Flooding and rice transplanting: class 15, Growing or fallow: Dry grass
13. Cropland/Other Vegetation Mosaic	33 % of class 5 + 66 % of Green grass	33 % of class 5 + 66 % of Dry grass
14. Mangrove	Oak, Bronze Loquat, Evergreen Pear	—
16. Bare area (gravel, rock)	20 % of classes 1 and 3 + 80 % of Green grass	20 % of classes 2 and 4 + 80 % of Dry grass
17. Bare area (sand)	20 % of classes 1 and 3 + 80 % of Green grass	20 % of classes 2 and 4 + 80 % of Dry grass
18. Urban	50 % of class 5 + 50 % of Green grass	50 % of class 5 + 50 % of Dry grass

722

723 Table 3 The materials for ground of each land cover.

724

GLCNMO class	Materials
Classes 1 and 2	Inceptisols, Entisols and Mollisols
Classes 3 and 4	Inceptisols
Classes 5 and 6	The mean of classes 1, 2, 3 and 4
Classes 7, 8, 9, 10 and 17	Aridisols
Classes 11 and 13	Mollisols
Class 12	Flooding and rice transplanting: class 15, Growing or fallow: Mollisols
Class 14	Water
Class 15	Water and Green grass
Class 16	Aridisols, Igneous and Sedimentary
Class 18	Construction concretes, Road asphalts and Tar
Class 19	Snow and Ice

725

726 Table 4 Values of separation between two adjacent boxes S, height of the boxes H and  
727 width of the box F.

728

Class	S (m)	H (m)	F (m)
Classes 1, 2, 3, 4, 5 and 14	0.5–1.5	2.5–10	1–4
Classes 6 and 9	3–7	2.5–10	1–4
Class 7	3–7	0.5–2.0	0.5–2.0
Class 8	8–16	2.5–10	1–4
Classes 10, 16, 17 and 18	9–21	0.5–2.0	0.5–2.0
Classes 11 and 12	1–3	0.5–2.0	0.5–2.0
Class 13	The mean of 5 and 11		

729

730 Table 5 The materials and building shapes of each face of urban structure.

731

	Top	Side	Ground
Materials	Roofing materials	Construction concretes	Road asphalts and Tar
Building shapes	F = 10—20 m	H = 7—15 m	S = 10—20 m

732

733 Table 6 The vegetation emissivity and deviation for each land cover.

734

GLCNMO class	Band 13				Band 14				Band 15			
	Green		Senescent		Green		Senescent		Green		Senescent	
	$\epsilon_v$	Dev.										
1, 14	0.9893	0.0018	—	—	0.9895	0.0020	—	—	0.9901	0.0020	—	—
2	0.9893	0.0018	0.9870	0.0045	0.9895	0.0020	0.9878	0.0045	0.9901	0.0020	0.9897	0.0023
3	0.9955	0.0019	—	—	0.9955	0.0018	—	—	0.9952	0.0021	—	—
4	0.9955	0.0019	0.9875	0.0067	0.9955	0.0018	0.9882	0.0066	0.9952	0.0021	0.9912	0.0041
5, 6, 7	0.9924	0.0012	0.9898	0.0020	0.9925	0.0013	0.9903	0.0020	0.9927	0.0013	0.9916	0.0013
8, 10, 16, 17	0.9937	0.0010	0.9784	0.0012	0.9951	0.0010	0.9763	0.0012	0.9959	0.0010	0.9802	0.0010
9	0.9934	0.0009	0.9806	0.0017	0.9945	0.0009	0.9792	0.0016	0.9951	0.0009	0.9828	0.0011
11, 12	0.9940	0.0015	0.9762	0.0015	0.9958	0.0015	0.9733	0.0015	0.9967	0.0015	0.9776	0.0015
13	0.9935	0.0009	0.9807	0.0010	0.9947	0.0009	0.9790	0.0010	0.9953	0.0009	0.9823	0.0009
18	0.9932	0.0008	0.9830	0.0012	0.9942	0.0009	0.9818	0.0011	0.9947	0.0009	0.9846	0.0009

735

736 Table 7 The ground emissivity and deviation for each land cover.

737

GLCNMO class	Band 13		Band 14		Band 15	
	$\epsilon_g$	Dev.	$\epsilon_g$	Dev.	$\epsilon_g$	Dev.
1, 2	0.9680	0.0075	0.9720	0.0060	0.9797	0.0058
3, 4	0.9667	0.0079	0.9699	0.0070	0.9790	0.0051
5, 6	0.9674	0.0053	0.9709	0.0045	0.9793	0.0038
7, 8, 9, 10, 17	0.9673	0.0088	0.9698	0.0068	0.9770	0.0056
11, 12, 13	0.9712	0.0053	0.9731	0.0049	0.9812	0.0046
16	0.9187	0.0120	0.9432	0.0093	0.9559	0.0074
14	0.9915	0.0050	0.9919	0.0050	0.9831	0.0050
15	0.9927	0.0023	0.9938	0.0023	0.9899	0.0023
19	0.9959	0.0007	0.9817	0.0023	0.9608	0.0051

738

739 Table 8 The emissivity and deviation for each face in urban area.

740

	Band 13		Band 14		Band 15	
	$\varepsilon$	Dev.	$\varepsilon$	Dev.	$\varepsilon$	Dev.
Top	0.9336	0.0386	0.9499	0.0224	0.9635	0.0205
Side	0.9485	0.0096	0.9582	0.0046	0.9660	0.0053
Ground	0.9548	0.0154	0.9552	0.0133	0.9619	0.0182

741

742 Table 9 The cavity effect ( $d\epsilon_u$ ) in urban area for three TIR bands.

743

Band	SZA (°)						
	0	10	20	30	40	50	60
13	0.0104	0.0115	0.0125	0.0136	0.0147	0.0155	0.0161
14	0.0104	0.0109	0.0114	0.0119	0.0124	0.0128	0.0131
15	0.0089	0.0092	0.0096	0.0099	0.0102	0.0106	0.0108

744

745 Table 10 The differences of  $\epsilon_u$  and  $d\epsilon_u$  between two types of urban structures.

746

Geometrical shape (m)		Band 13				Band 14				Band 15			
		SZA=0°	20°	40°	60°	0°	20°	40°	60°	0°	20°	40°	60°
Type A	$\epsilon_u$	0.9616	0.9630	0.9644	0.9653	0.9667	0.9691	0.9716	0.9798	0.9758	0.9773	0.9788	0.9798
F: 10, H: 15, S: 20	$d\epsilon_u$	0.0147	0.0174	0.0200	0.0218	0.0153	0.0162	0.0171	0.0146	0.0112	0.0125	0.0138	0.0146
Type B	$\epsilon_u$	0.9473	0.9480	0.9488	0.9493	0.9580	0.9592	0.9605	0.9715	0.9694	0.9702	0.9710	0.9715
F: 20, H: 7, S: 10	$d\epsilon_u$	0.0070	0.0084	0.0097	0.0107	0.0073	0.0078	0.0084	0.0072	0.0054	0.0060	0.0067	0.0072
Type A - Type B	$\epsilon_u$	0.0143	0.015	0.0156	0.016	0.0087	0.0099	0.0111	0.0083	0.0064	0.0071	0.0078	0.0083
	$d\epsilon_u$	0.0077	0.009	0.0103	0.0111	0.008	0.0084	0.0087	0.0074	0.0058	0.0065	0.0071	0.0074

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